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2 *Late Alpine tectonics and seismogenic implications*

3 †Current address: Instituto Oceanográfico, Universidade de São Paulo, Praça do Oceanográfico 191,

4 05508-120 São Paulo, SP, Brazil; scardia@usp.br.

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8 Evidence for late Alpine tectonics in the Lake Garda area

9 (northern Italy) and seismogenic implications

10 **Giancarlo Scardia^{1,†}, Andrea Festa², Giovanni Monegato³, Roberta Pini⁴, Sergio Rogledi⁵,**

11 **Fabrizio Tremolada⁶, and Fabrizio Galadini⁷**

12 ¹*Istituto di Geologia Ambientale e Geoingegneria, CNR, via Salaria km 29.300, I-00016*

13 *Monterotondo Scalo (Roma), Italy*

14 ²*Dipartimento di Scienze della Terra, Università di Torino, Via Valperga Caluso 35, I-10125*

15 *Torino, Italy*

16 ³*Istituto di Geoscienze e Georisorse, CNR, via Valperga Caluso 35, I-10123 Torino, Italy*

17 ⁴*Istituto per la Dinamica dei Processi Ambientali, CNR, Piazza della Scienza 1, I-20126 Milano,*

18 *Italy*

19 ⁵*ENI E&P, via Emilia 1, I-20097 San Donato Milanese, Italy*

20 ⁶*RPS Energy, Goldsworth House, Denton Way, Goldsworth Park, GU213LG Woking, UK*

21 ⁷*Istituto Nazionale di Geofisica e Vulcanologia, via di Vigna Murata 605, I-00143 Roma, Italy*

22 **ABSTRACT**

23 We investigated the recent evolution of the Po Plain–Alps system by integrating subsurface

24 geophysical data from the Po Plain with new stratigraphic and structural observations from the

25 southern Alps margin. Inversion of structural data and chronology provided by stratigraphic
26 constraints led to the definition of three tectonic events since the Pliocene, namely, the intra-
27 Zanclean, the Gelasian, and the middle Pleistocene, driven by an axis of maximum compression
28 formerly oriented NE (intra-Zanclean) and then to the NNW (Gelasian and middle Pleistocene).
29 The associated deformation has been accommodated by two sets of faults consisting of NNE-
30 trending thrust faults, mostly represented in the western sector of Lake Garda, and NW-trending
31 strike-slip faults, observed in the southern and eastern sectors. The interplay between these two sets
32 of faults is interpreted to produce short (<10 km length) thrust ramps activated in left transpression,
33 bounded by longer (30–60 km) transfer faults activated in a right-lateral strike-slip motion. Based
34 on this structural model, we infer moderate seismicity ($M_w < 6$) associated with the NNE-directed
35 thrusts and stronger earthquakes (also $M_w > 6.5$) along the NW-trending strike-slip faults. In this
36 framework, the newly defined Nogara fault and the Sant' Ambrogio fault, all pertaining to the NW-
37 trending system, are regarded as potential candidates for the seismogenic source of the January
38 A.D. 1117 event, the most destructive earthquake in the Po Plain.

39 INTRODUCTION

40 After a complex succession of deformation phases, the traditional geologic view constrains
41 the end of Alpine tectonics in the Po Plain to the late Messinian (Pieri and Groppi, 1981; Castellarin
42 et al., 1992; Fantoni et al., 2004), with residual activity confined in the eastern southern Alps (e.g.,
43 Castellarin and Cantelli, 2000; Galadini et al., 2005). This view mainly relies on the occurrence of
44 postorogenic Pliocene marine deposits sealing the folded and eroded Mesozoic–Cenozoic Alpine
45 bedrock (Castellarin et al., 1992). However, geomorphologic, seismological, and geodetic evidence
46 (e.g., Desio, 1965; Anderson and Jackson, 1987; D'Agostino et al., 2008; Livio et al., 2009) clearly
47 points to a still ongoing deformation in the southern Alps and Po Plain, the study of which is the
48 aim of the present work.

49 Increasing scientific sensibility of the seismic hazard of the Po Plain has led to modern
50 studies based on punctual paleoseismological investigations (Galadini and Galli, 1999; Galadini et

51 al., 2001; Livio et al., 2009). Moving from these studies, our present work aims to depict a new
52 structural model for the Po Plain that accounts for the largely ignored Pliocene–Pleistocene
53 tectonics of the southern Alps and seismogenic implications at regional scale. A special emphasis is
54 given to the Lake Garda area because of (1) its complexity as an interference area between two
55 different structural styles, (2) the occurrence of deformed Pliocene–Pleistocene deposits, and (3) the
56 occurrence of damaging historical earthquakes (e.g., A.D. 1117, M_w 6.7; A.D. 1222, M_w 5.8; A.D.
57 1891, M_w 5.9; A.D. 1901, M_w 5.5; Locati et al., 2011; Pessina et al., 2013). We based our study on
58 the integration of subsurface geophysical data with new direct stratigraphic and structural
59 observations, gathered by means of detailed geological survey along the southern Alps margin.
60 Taking into account that sedimentation (in the Po Plain) or erosion (in the Alps) rates are much
61 higher than the tectonic strain rate (e.g., Livio et al., 2009; Burrato et al., 2012), we focused on
62 Pliocene and early Pleistocene deposits, where the cumulative deformation is expected to be more
63 evident in the stratigraphic record.

64 REGIONAL BACKGROUND

65 The tectonic setting of the southern Alps has a complex history, including Mesozoic rifting
66 and convergence between the European and Adriatic plates since the Late Cretaceous. Thrusting of
67 the Paleozoic basement and its sedimentary cover during the Miocene represented the final,
68 postcollisional phase of the Alpine orogeny, which resulted in the formation of a fold-and-thrust
69 belt composed of south-verging thrust sheets (e.g., Laubscher, 1985; Picotti et al., 1995).

70 The Lake Garda area lies between two sectors characterized by different structural styles. To
71 the west, the “Giudicarie belt” consists of NNE-trending, transpressive thrusts and folds (Fig. 1).
72 The eastern sector is characterized by the rigid block of the Lessini Mountains, mainly deformed by
73 the NW-trending, strike-slip Schio-Vicenza fault system. Since the late Messinian, Apennines-
74 related flexural subsidence has involved the southern sector of the Alps, resulting in the southward
75 tilting and burial of the outermost Alpine thrusts (e.g., Fantoni et al., 2004). Southward tilting also
76 involved the former Alpine foreland, producing a regional monocline dipping $\sim 5^\circ$ toward the

77 Apennines (Mantova monocline; Fig. 1). During the Pliocene and the early Pleistocene—now
78 including the Gelasian stage (Gibbard et al., 2010)—the stepwise northward migration of the
79 Apennines produced basinwide structural modifications and the deposition of two tectono-
80 stratigraphic groups, namely, EP (Pliocene) and LP [\[\[Q: Spell out EP and LP at first use here?\]\]](#)
81 (Pleistocene), produced by the intra-Zanclean and Gelasian tectonic phases, respectively (Ghielmi
82 et al., 2010). After the severe Pliocene tectonics, a gradual decrease in the Apennines compressional
83 deformation occurred during the early Pleistocene, as indicated by the reduced growth of the
84 existing structures (Ghielmi et al., 2010). A probably associated decrease in subsidence led the Po
85 Basin to complete infilling (sequences PS1–PS3 within the LP group; Scardia et al., 2012).

86 In a context of low deformation rates, the climatic signal becomes evident in the
87 stratigraphic record of the Po Plain with the formation of the R surface (base of sequence PS2), a
88 regional seismic horizon that marks the onset of the major Pleistocene glaciations at ca. 0.9 Ma
89 (Muttoni et al., 2003). Since the middle Pleistocene, the outward migration of the Apennines seems
90 to **have stalled**, and no formation of new thrusts is documented (Picotti and Pazzaglia, 2008).
91 Broadly at the same time, erosion-driven isostatic rebound is inferred as the dominant process in the
92 western southern Alps (Scardia et al., 2006, 2012). Associated decrease in accommodation space
93 forced fluvial systems to prograde basinward, triggering the formation of the Y surface (base of PS3
94 sequence) at ca. 0.45 Ma (Scardia et al., 2012).

95 Land exposures preserve a fragmentary and marginal record of the Pliocene–Pleistocene
96 events, making their remarkable and direct information very scattered. Sparse Pliocene deposits and
97 late Cenozoic conglomerates have been known along the Alpine margin since early studies (see
98 following section), and their revision in the present study is aimed at framing them in the
99 aforementioned regional stratigraphic layout, with mutual advantage provided by the better
100 chronological constraints of the outcrops and the stratigraphic continuity of the subsurface
101 stratigraphy.

102 **SUBSURFACE GEOLOGY**

103 The subsurface geologic structure of the Po Plain was depicted in the early 1980s by Pieri
104 and Groppi (1981). A recent reappraisal summarized in Fantoni et al. (2004) mainly dealt with the
105 western and central sectors of the buried southern Alps front. Moving from these studies, we
106 reinterpreted the whole ENI **[[Q: Please spell out ENI at first use.]]** seismic data set of the central
107 and eastern Po Plain, focusing on the largely ignored Mantova monocline. Following the approach
108 of Fantoni et al. (2004), we mapped the main buried structural features at different stratigraphic
109 levels, projecting onto the horizontal plane the hanging-wall cutoffs at the Aptian-Albian
110 unconformity (top of the Mesozoic calcareous rocks) and at the youngest horizon (Messinian to
111 early Pliocene) of the Cenozoic terrigenous units. Some differences in details between the structures
112 mapped in Figure 1 and those from previous studies are ascribable to a different interpretation of
113 seismic data and to the application of slightly different models of structural style.

114 **Southern Alps Structures**

115 The northern sector of the Po Plain is characterized by the occurrence of the southern Alps
116 buried fronts, which interact in their outermost portion with the Apennines fronts. Interaction takes
117 place along a deeper, E-W-oriented structure (the Corneliano-Bordolano axis; Fig. 1), representing
118 a residual foreland **located** between the southern Alps and the Apennines thrusts. The southern Alps
119 are characterized by two different structural styles. Between Lake Como and Lake Iseo, there are
120 imbricate, south-verging thrusts involving both the Mesozoic calcareous succession and the
121 overlying Cenozoic terrigenous deposits. The metamorphic basement seems to be clearly involved
122 only northward of the Franciacorta and Brescia faults (Fantoni et al., 2004; Fig. 1), where low-angle
123 detachment planes can be observed at relatively shallow depth.

124 South of Lake Iseo, the southern Alps structures experience abrupt rotations or interruptions
125 (Fig. 1). This behavior is attributed to an inherited, deeper, NNE-trending fault rooted in the
126 basement (Iseo fault; see also section 12 of Pieri and Groppi [1981] and Figure 7a of Fantoni and
127 Franciosi [2010]). The Iseo fault corresponds to a major extensional fault of Mesozoic or Permian
128 age, already inferred in literature from land exposures (i.e., Lake Iseo–Oglio River line [Castellarin

129 et al., 1992], Sebino faults [Bertotti et al., 1993], Val Camonica line [Cassinis and Perotti, 1996]).
130 East of this fault, the southern Alps south-verging thrusts in Cenozoic terrigenous units shift to one
131 (Fantoni et al., 2004) or two major, north-verging back thrusts (Livio et al., 2009), reflecting a
132 different arrangement of the deeper structures and, ultimately, a paleogeographic conditioning (see
133 also Ravaglia et al., 2006).

134 More eastward in the Lake Garda area, the Alpine thrusts evolve in lateral ramps according
135 to a NNE-trend (Fig. 1). Here, decreasing offset in the outermost structures records the transition to
136 the foreland, where incipient, high-angle faults show a slight inversion of the movement with
137 respect to their former Mesozoic extensional behavior (Solferino fault; Figs. 1 and 2A). For the NE-
138 trending Sirmione fault, interpretation of the available seismic lines shows a vertical offset of ~2
139 km (~1 s two-way traveltime at ~4 km/s; Fig. 2A). This fault is correlative with the inferred
140 structure known in literature as the Sirmione-Garda fault (Carton and Castaldini, 1985, and
141 references therein). Pliocene–Pleistocene seismic reflectors in the Lake Garda area are poorly
142 imaged in the available seismic profiles, but some disturbance along the Sirmione fault seems to
143 occur up to the level of the R surface (base of the PS2, ca. 0.9 Ma; Fig. 2A), with the western sector
144 uplifted with regards to the eastern sector.

145 On the whole, abrupt changes in strikes and dips of faults at shallow depths suggest a
146 control exerted by lineaments predating the Alpine compressional phases. These older faults may be
147 reactivated or have controlled the trajectory of newly formed faults. The structural elements
148 controlling this behavior are Mesozoic extensional and transfer faults, often reactivated as lateral
149 ramps of the new Alpine thrusts or accommodating the flexural tilting in the foreland sector. We
150 also observed that thrusts in Cenozoic terrigenous units often develop frontal ramps in
151 correspondence with the structural steps provided by the underlying Mesozoic normal faults (e.g.,
152 Piadena fault; Fig. 1; see also Picotti et al., 2007).

153 **Foreland Structures**

154 The present-day foreland is represented by the Mantova monocline, a regional, south-
155 dipping structure that lies between the Alpine and Apennines fronts (Figs. 1 and 2B). Interruptions
156 and offsets in Mesozoic reflectors point to the occurrence of blocks bounded by subvertical faults,
157 as observed in the Lessini Mountains exposures. The main structural setting consists of NW-
158 oriented extensional faults, bounding tilted blocks of variable size and sometimes interrupted by
159 transfer faults (Figs. 1 and 2B). All over the Mantova monocline, relatively **lifted** and lowered
160 blocks can be recognized in the Mesozoic succession. The lowered blocks identify more subsiding
161 troughs like the Suzzara Basin, bounded eastward by the NW-trending Viadana fault and
162 interrupted northward by the NE-trending Mantova fault (Fig. 1).

163 Among the NW-trending faults, the Nogara fault (Figs. 1 and 2B) appears to be the most
164 notable. It consists of a subvertical plane that bears variable displacements, often showing reversal
165 of the downthrown and upthrown sides. This feature suggests a scissor fault behavior, characterized
166 by a strike-slip deformation mechanism. The evidence of this fault is not always well traceable in
167 the ENI seismic data set, probably because the seismic resolution is ~20–30 m, and it does not
168 allow relatively smaller offsets to be detected. The estimated length of this fault is ~60 km, and in
169 the best two-dimensional (2-D) seismic profile, deformation or interruption of seismic reflectors
170 seems to occur at least up to the Y surface (Fig. 2B).

171 **GEOLOGY OF LAND EXPOSURES**

172 Geological survey was carried out on Pliocene and early Pleistocene deposits of the Lake
173 Garda area, with the aim of detecting evidence of faulting and constraining the age of deformation.
174 We chose relatively older deposits with respect to the common practice in active tectonics studies
175 (e.g., Galadini et al., 2012), because in an area where glacial erosion has been the main shaping
176 process (with rates much higher than those related to the tectonic activity), only the few sparse
177 remains of Pliocene–Pleistocene deposits may provide evidence of strain by **accumulated**
178 deformation.

179 We present data from three sites distributed in the western side, eastern side, and the middle
180 of the Lake Garda area, respectively (Fig. 1). These sites bear evidence of the different structural
181 styles:

182 (1) The western sector is mainly characterized by NNE-directed, SE-verging thrust faults
183 belonging to the Giudicarie belt.

184 (2) The eastern sector, corresponding to Lessini Mountains, consists of rigid blocks bounded by
185 subvertical, NW/NNW strike-slip faults (Schio-Vicenza fault system).

186 (3) The central sector records the complex structural interference between the differently
187 oriented fault systems, described herein, as observed northward in the Trento area.

188 These structural styles are reflected in the seismotectonic settings of the Lake Garda area,
189 where focal plane solutions document dominant contractional and strike-slip components in the
190 Giudicarie belt and in the Lessini Mountains area, respectively (Viganò et al., 2008).

191 For chronostratigraphic considerations, we refer to the Lourens et al. (2005) time scale,
192 modified after Gibbard et al. (2010) and Backman et al. (2012).

193 **Western Sector**

194 *Stratigraphy*

195 The area south of Brescia is characterized by the occurrence of isolated reliefs, which are
196 related to the growth of buried thrusts since at least the middle Pleistocene (Desio, 1965). Moving
197 along the eastern bank of Chiese River, Pliocene and early Pleistocene deposits are exposed in few
198 and sparse outcrops (Cremaschi, 1987), where no significant deformation has been observed. The
199 most striking evidence of late Alpine tectonics is found in the western bank of Lake Garda at San
200 Bartolomeo Hill, where Pliocene marine deposits have been uplifted to an elevation of ~500 m
201 above sea level.

202 The San Bartolomeo Hill Formation (Baroni et al., 1995) consists of a transgressive-
203 regressive cycle subdivided in three members, named SB1, SB2, and SB3 from bottom to top,
204 respectively (Fig. 3). SB1 and SB3 display very similar lithology and petrography and can be

205 separated with confidence only when the intermediate SB2 member occurs. The SB1 member may
206 reach a maximum thickness of ~170 m and consists of coarse-grained, massive to crudely bedded
207 conglomerate, unconformably lying on the bedrock (Fig. 3). The conglomerate passes upward to
208 50-m-thick, massive to laminated, fossiliferous silty clays (SB2). Toward the top, sand and gravel
209 lenses are common, as well as intervals with a very thin lamination and organic-rich layers. The
210 succession is sealed by an ~25-m-thick conglomerate (SB3), with roughly the same petrography
211 composition as the underlying gravels. The boundary between the fine-grained deposits and the
212 upper conglomerates is erosional. The latter sediments show an increasing organization toward the
213 top, from crudely bedded and coarse grained to horizontal or planar cross-bedded and medium to
214 fine grained.

215 Clast petrography of SB1 is dominated by dolostone fragments (57%), with the overall
216 carbonates exceeding 80%; this composition points to a local drainage from the southern Alps
217 sedimentary cover (Fig. 4), also suggested by the ~50-cm-sized blocks of marly limestone
218 (“Scaglia” facies) observed at the base. The SB2 and SB3 members show a progressive enrichment
219 in volcanic (>10%) and metamorphic clasts (~5%), interpreted as a mixture of the local drainage
220 with the wider Adige catchment (Table 1; Fig. 4).

221 Based on facies analysis, the lower conglomerate SB1 is interpreted as an alluvial fan. Even
222 if the boundary between this latter and the upper SB2 member is not observed, we interpret the
223 fining-upward trend of SB1 coupled with the occurrence of marine clays at the top (SB2) as a
224 unique transgressive event. The coarsening upward at the top of SB2 and the very thin laminated
225 organic-rich layers point to a gradual shallowing of the environment, from shelf to lagoon settings.
226 Braid-plain deposits of SB3 close the regressive sequence.

227 We performed calcareous nannofossil investigations on 12 samples collected in the SB2
228 marine deposits (Table 2). The analyzed samples show a scarce content in nannofossils,
229 characterized by a moderate conservation and abundant reworked taxa from the Mesozoic and
230 Paleogene. All four samples collected in the northeastern sector of San Bartolomeo Hill turned out

231 to be barren and are not reported in Table 2. The presence of *Pseudoemiliania ovata*, *Sphenolithus*
232 *abies*, and *Pseudoemiliania lacunosa* allows the SB2 member to be referred to the NN14–NN15
233 zone (Martini, 1971; 4.04–3.81 Ma, late Zanclean). In addition, the first occurrence of *P. lacunosa*
234 at the top of the succession provides an age of 3.82 Ma (Backman et al., 2012).

235 Samples collected for pollen analysis in fine-grained levels of the lower SB1 conglomerate
236 turned out to be devoid of pollen. One sample collected in the SB2 marine clays (Fig. 3, sample
237 SB22) yielded limited pollen concentration (<1800 grains/cm³ of sediment), **which was** sufficient to
238 provide basic paleoenvironmental and biostratigraphic information (Table 3). The pollen spectrum
239 originates from conifer forests of cool climate, dominated by *Cathaya* (37% of the identified grains)
240 accompanied by pines, spruce, and hemlock. Pollen of woody angiosperms occurs as sporadic
241 items. Precise biochronologic attribution is not possible with a single pollen spectrum, but some
242 simple considerations can be derived: (1) The abundance of *Cathaya* rules out any attribution to the
243 early Pleistocene, and (2) comparison with the available data from the Pliocene of northern Italy
244 (Bertini, 2001) may suggest that sample SB22 was deposited sometimes after 4 Ma and before 2.6
245 Ma, in good agreement with the nannofossil biostratigraphic data.

246 Based on the late Zanclean age of the whole SB2 member and its supposed continuity with
247 the underlying SB1 member in a unique transgressive event, we suggest an early Pliocene age for
248 the lower conglomerate, in agreement with Cita (1955). Our interpretation differs from the latest
249 Messinian age of Picotti et al. (1997), relying on the findings of *Melanopsis* sp. at the base of SB2.
250 A generic *Melanopsis* **sample** documents fresh/brackish and warm waters (Esu, 1980) but has poor
251 biostratigraphic value. In our interpretation, the occurrence of *Melanopsis* marks an early Pliocene
252 transitional environment occurring between the SB1 alluvial-fan drowning and the marine
253 ingression of the SB2 member.

254 ***Structural Geology***

255 The bedding attitude of the San Bartolomeo Hill Formation is subhorizontal, and, according
256 to previous studies, the whole succession appears to be displaced by a set of NW-trending

257 extensional faults characterized by small vertical offset (Venzo, 1957; Baroni et al., 1995; Picotti et
258 al., 1997). On the basis of the structural analysis of mesoscopic deformation of pebbles, three
259 distinct deformation events were recognized in the San Bartolomeo Hill Formation: an older
260 contractional event with a maximum stress axis (σ_1) oriented at N45°, followed by two younger
261 extensional phases, with minimum stress axis (σ_3) oriented at N50° and at N305°, respectively
262 (Picotti et al., 1997). The older extensional event with minimum stress axis (σ_3) oriented at N45° is
263 explained as being due to a forebulge tensional stress during the early Pliocene northward migration
264 of the Apennines (Picotti et al., 1997).

265 Our survey did not provide new data about the normal faults reported in previous studies.
266 Therefore, the actual contribution of the San Bartolomeo Hill to our tectonic scenario relies on age,
267 elevation, and bedding attitude of the Pliocene deposits coupled with the structural analysis carried
268 out by Picotti et al. (1997).

269 **Eastern Sector**

270 ***Stratigraphy***

271 Pliocene or early Pleistocene deposits were never clearly identified in the eastern Lake
272 Garda bank, with the exception of the Montecio Conglomerate, of generic Neogene age (Venzo,
273 1961). The conglomerate is exposed at Montecio, close to Sant' Ambrogio di Valpolicella, and
274 along the Cà Verde depression (Fig. 5). It rests with an erosional lower boundary on the bedrock
275 and develops with horizontal and planar cross-bedded, well-sorted, well-rounded, fine-grained
276 gravels. Up section, the clast size increases to 25–30 cm, and the conglomerate is crudely bedded.
277 The succession ends with a set of gravel-to-sand fining-upward cycles. The overall thickness is ~50
278 m. Pebbles are composed of limestones and dolostones with few clasts of volcanic and
279 metamorphic rocks. Sand petrography shows abundance of quartz (30–45%), limestone (up to
280 34%), and phyllites (15–19%), with a common occurrence of acidic volcanic rocks (up to 11%)
281 (Table 3). Facies associations suggest that the Montecio Conglomerate was deposited by a shallow,
282 gravel-bed river. The good organization of the body rules out deposition by a local stream, but

283 rather points to deposition in a braid plain with local high-energy episodes. According to the
284 petrographic composition, provenance of the Montecio Conglomerate can be constrained to a
285 paleoriver draining the Mesozoic and Tertiary sedimentary cover and volcanic rocks of the east
286 Lake Garda bank, Lessini Mountains, and a small portion of the Valsugana basement (Figs. 1 and
287 4).

288 Dating the Montecio Conglomerate at Sant’Ambrogio is a hard task due to lack of fossils
289 and fine-grained layers suitable for pollen or paleomagnetic analyses. Northward, at Rivoli V.se
290 [\[\[Q: Please spell out V.se here.\]\]](#) (Fig. 5A), we observed gravels with petrographic composition
291 similar to the Montecio Conglomerate. The gravel deposit fills tectonic fractures in the Mesozoic
292 bedrock, is strongly weathered, and bears a wealth of fossil microfauna, among which the late
293 Villanyan *Mimomys pliocaenicus* (Sala et al., 1994) is ascribable to the Gelasian (2.59–1.81 Ma;
294 Nomade et al., 2014; Bellucci et al., 2014). The petrographic correlation between the
295 Sant’Ambrogio and Rivoli exposures points to the same paleodrainage (now completely
296 dismembered) for both the deposits and can support their contemporaneity. The Gelasian
297 microfauna at Rivoli is not coeval to the gravels, because the strong weathering would have
298 destroyed the very thin bones. Therefore, the occurrence of *M. pliocaenicus* should instead be
299 considered as penecontemporaneous to the opening of the fractures. These bones, being small,
300 fragile, and relatively lightweight, are usually found in primary deposition as a product of nocturnal
301 bird predation activity (e.g., owls), [for](#) which habitat shelters are in rocky and cliffs areas (e.g.,
302 Andrews, 1990) compatible with the environment of Rivoli. The *M. pliocaenicus* microfauna at
303 Rivoli allows the Montecio Conglomerate to be referred to a pre-Gelasian time, most likely the
304 Pliocene (see discussion in [section 4](#) [\[\[Q: Please cite section title here.\]\]](#)).

305 ***Structural Geology***

306 The Montecio Conglomerate fills the NW-oriented Cà Verde depression and is cut by NW-
307 to WNW-directed fault systems (Fig. 5B). The WNW fault system, which consists of high-angle
308 right-lateral faults dipping mainly to NE, is pervasive at meso- to macroscale (Fig. 6B) and is

309 bounded by the NW-directed one. At the mesoscale, the faults show a right-stepping en echelon
310 arrangement with meters- to tens-of-meters-wide overlapping zones. Close to the faults, pebble
311 surfaces are polished and locally contain rounded-to elongated solution pits and striae formed by
312 the impact of differently sized pebbles (Fig. 6A). In general, the solution pits occur on opposite
313 poles of the pebble surfaces according to the orientation of the maximum compressional stress
314 parallel to their long axis (Caputo et al., 2010, and references therein). Striae and grooves on pebble
315 surfaces vary from parallel to curved on flat surfaces. Grooves are commonly millimeters to
316 centimeters long and 0.1–0.2 mm wide, and striae are 4–5 cm long (Fig. 6A). The direction of both
317 striae and grooves on pitted pebbles is well consistent with that of slickenlines measured on NW
318 faults. Paleostress analysis attempted with the few available data indicates a NNW-SSE orientation
319 ($\sim N175^\circ$) of the maximum compressive axis of stress (σ_1) (Fig. 5C).

320 Meter-scale, right-lateral faults with E-W direction also locally occur. The most notable
321 example is a high-angle, north-dipping fault consisting of two main surfaces that bound a 50-cm-
322 thick fault breccia (Fig. 6C). Extensional slickenlines occur on the fault surface, representing the
323 reactivation of prevalent right-lateral movements, as indicated by S-C shears within the fault
324 breccia.

325 In addition to the Sant' Ambrogio area, gravels ascribable to the Montecio Conglomerate fill
326 NE open fractures in the Rivoli [V.se](#) [\[\[Please spell out here.\]\]](#) area (Figs. 5 and 6D), which cut
327 subvertical NNE-directed, left-lateral faults. These open fractures are interpreted, in analogy with
328 what was observed at Sant' Ambrogio, as tensile joints produced by right stepovers of the NW-
329 directed right-lateral fault system.

330 **Central Sector**

331 *Stratigraphy*

332 The central sector is largely occupied by Lake Garda and by middle to late Pleistocene
333 glacial deposits (Cremaschi, 1987). Thankfully, the Sirmione peninsula (Fig. 7) is located in the
334 middle of Lake Garda, bearing Late Cretaceous calcareous bedrock (Cita, 1949) and a conglomerate

335 of uncertain age (Sirmione Conglomerate; Venzo, 1965). The Sirmione Conglomerate is widely
336 exposed along the eastern bank of the Villa Cortine hillock, where it unconformably lies on late
337 Campanian cherty and marly limestones (nannofossil zone CC22c of Sissingh, 1977; Table 2). The
338 Sirmione Conglomerate has been attributed to the late Miocene (Venzo, 1965) as well as to the
339 middle Pleistocene (Cremaschi, 1987), and it is distinguished in two members by facies and
340 sediment composition (Fig. 8). The lower member SIR1 is 11–13 m thick (lower boundary not
341 observed) and consists of massive, coarse-grained, matrix-supported gravel, with boulders from
342 angular (limestones) to rounded (porphyries) shape, passing upward to a crudely bedded
343 conglomerate, sealed by a massive fine-grained layer, with sandstone lenses and lateral continuity
344 of several tens of meters. The transition to the upper member SIR2 is gradual and characterized by a
345 5–6-m-thick interval of crudely bedded, clast-supported conglomerate, showing a better sorting,
346 rounded clasts, and a remarkable minor amount of porphyries. Facies association points to proximal
347 braid-plain environment characterized by longitudinal bars and thick debris-flow deposits with
348 boulders and blocks up to 1 m size, passing upward to a shallow, gravel-bed river braid plain
349 (Miall, 2006). The SIR2 member is composed of horizontal to planar, cross-bedded conglomerate,
350 showing well-sorted and clast-supported **character**; pebbles are dominated by limestone and chert.
351 Laminated to massive sandstone lenses occur more frequently toward the top. Very rare and thin
352 fine-grained layers were observed at the top of sandstone lenses. The dominant horizontal and
353 planar cross-bedded gravel facies association is interpreted as a vertical stack of shallow, gravel-bed
354 river channels, pointing to a braid-plain depositional system. The average thickness is 12–15 m, but
355 in the northernmost outcrops, the SIR2 member lies directly on the bedrock. Samples collected for
356 pollen analysis in the fine-grained levels were barren.

357 Sand petrography of the two members shows a clearly different provenance (Table 1; Fig.
358 4). SIR1 is polygenic, with a dominance of dolostone fragments (>45%), common limestone
359 (~15%), acidic volcanic (~10%), and metamorphic fragments (~9%). The gradual transition to the
360 upper member shows an increase in limestone grains. Limestones become dominant in the SIR2

361 member (>48%), coupled with cherts (>10%); dolostones are still abundant (~30%), while the other
362 parameters are poorly represented. Comparison with source areas and available databases (Gazzi et
363 al., 1973; Garzanti et al., 2006; Monegato et al., 2010; Garzanti et al., 2011) points to an Adige
364 catchment provenance for SIR1, as also assessed by the occurrence of granodiorites and gneiss
365 characteristic of the Bressanone area (northeastern sector of the Adige catchment). The SIR2
366 provenance is conversely related to a smaller drainage, likely located eastward of the present Lake
367 Garda in the Mesozoic sedimentary cover of the southern Alps.

368 Paleoenvironmental and chronologic constraints were obtained by means of pollen and
369 paleomagnetic analyses on the fine-grained layer from the SIR1 member (Fig. 8). Three pollen
370 spectra display a lack of floral elements (e.g., *Tsuga*, *Carya*, *Pterocarya*; Table 3) widely
371 distributed on the southern Alps margin during the preglacial early Pleistocene (Ravazzi and
372 Rossignol Strick, 1995; Muttoni et al., 2007). Taxa occurring in these samples derived indeed from
373 open conifer forests and steppic scrublands peculiar of the cold and dry climatic conditions that
374 typified Pleistocene major glacial stages (Muttoni et al., 2003). Samples also yielded an abundance
375 of reworked palynomorphs of Pliocene and older time intervals, suggesting a strong erosional input
376 from older terrains. Paleomagnetic analyses on 12 samples show the existence of a low to medium
377 unblocking temperature component, partially or, more frequently, totally superimposed on a high
378 unblocking temperature. The lower unblocking temperature component, removed between room
379 temperature and less than ~600 °C, bears northerly down-pointing inclinations and is regarded as a
380 recent magnetization overprint. The characteristic component, when resolved, is removed to the
381 origin of the demagnetization axes in the temperature range between ~500 °C and ~680 °C and
382 bears a reverse magnetization regarded to be acquired at sediment deposition (DRM). The pervasive
383 normal polarity overprint cannot be interpreted as thermoviscous because the organic matter (i.e.,
384 pollen) should have been erased by heat. Therefore, new formation of magnetic mineral (sulfides
385 and magnetite) during the normal polarity Brunhes chron (<0.78 Ma) is the most likely cause for
386 the normal polarity overprint, thus interpreted as **chemical** **[[or characteristic?]]** remanent

387 magnetization (CRM). The site mean value, calculated by integrating the analysis of
388 remagnetization circles and the few retrieved paleomagnetic directions (McFadden and McElhinny,
389 1988), provided declination = 215° and inclination = -68°.

390 On the whole, taking into account the pollen results and the paleomagnetic data, the SIR1
391 member of the Sirmione Conglomerate can be ascribed to a glacial stage of the reverse polarity late
392 Matuyama chron (0.99–0.78 Ma; Muttoni et al., 2003, 2007; Scardia et al., 2010).

393 ***Structural Geology***

394 Two main fault systems, NW- and NNE-directed, respectively, occur in the Sirmione
395 peninsula. A NNE fault displaces the Sirmione Conglomerate along the eastern bank of the
396 peninsula (Fig. 7). It is composed of two main high-angle fault zones, decimeters to meters wide
397 and tens to hundreds of meters long, each of which consists of several-meters-long anastomosed
398 faults with overlapping to overstepping relationships in outcrop (Figs. 9A and 9B). Striated calcite
399 fibers drape the fault surfaces, indicating left-lateral movement. Secondary extensional components
400 also occur. Within the overlapping fault segments, pebbles are locally reoriented and aligned
401 parallel to the faults. Dragging pebbles also occur in the fault's hanging wall. The same fault system
402 also displaces the Late Cretaceous bedrock. Not-mappable left-lateral faults can be observed in the
403 northern part of the Sirmione peninsula (the "Grotte di Catullo" area; Fig. 7), where they show tens-
404 of-meters-long surfaces with positive flower structures in outcrop. Locally, the superposition of
405 striated calcite fibers indicates extensional reactivation of the left-lateral movements.

406 The second fault system, NW-directed, was observed only in the Late Cretaceous succession
407 (Fig. 7), and it mainly consists of NE-dipping, right-lateral faults with a secondary reverse
408 component of movement. In the northern termination of the Sirmione peninsula, this fault system
409 consists of two main en echelon right-stepping faults, hundreds of meters long, which are connected
410 by tens-of-meters-long N faults (Fig. 7). The main NW fault segment shows horsetail termination at
411 its western tip, displacing with right-lateral movement the NE left-lateral fault observed in the
412 bedrock of the Grotte di Catullo area (Figs. 9C and 9D). The architecture of the NW fault system

413 strongly resembles that described in the eastern sector in the Sant’Ambrogio area (see [section 3.2.2](#)
414 [\[\[Please cite section title here.\]\]](#)). On the whole, all the observed faults and fractures are consistent
415 with σ_1 oriented according to a NNW-SSE direction ($\sim N172^\circ$) (Fig. 7).

416 **DISCUSSION**

417 By integrating stratigraphic and structural data from land exposures with evidence from
418 subsurface geology, we interpret a succession of tectonic events recorded along the southern Alps
419 margin in the Lake Garda area since the Pliocene (Fig. 10).

420 In the western sector, we recognize and constrain the following deformation phases:

421 (1) Late Zanclean subsidence and marine transgression: In our interpretation, this event is
422 materialized by the SB1 deposition and the SB2 marine transgression. It can be considered coeval
423 to a regional stratigraphic event recognized all along the southern Alps margin and
424 biostratigraphically constrained to the early Pliocene (Raffi and Rio, 1978; Favero and Grandesso,
425 1982; Brambilla and Lualdi, 1986). At this time, the sea penetrated deeply into the Alpine valleys
426 producing a *costa a rias* morphology (Corselli et al., 1985). This regional event can be referred to
427 the intra-Zanclean tectonic phase of Ghielmi et al. (2010), when the northward migration of the
428 Apennine fronts flexed the Alpine orogen, triggering subsidence along the southern Alps margin
429 and marine transgression into the Alpine valleys. Our biostratigraphic data allow for firmly
430 constraining this tectonic event to the late Zanclean at around the NN14–NN15 nannofossil
431 biozones (4.04–3.81 Ma).

432 (2) Uplift of the San Bartolomeo Hill: The San Bartolomeo Hill Formation ends with a
433 regressive sequence where braid-plain deposits prograde into a coastal/lagoon environment in the
434 latest Zanclean. Somewhere in time since that moment forward, i.e., since the Piacenzian, the
435 deposits were uplifted to an elevation of ~ 500 m, providing an uplift rate of 0.13 mm/yr. This long-
436 term value is a minimum value, as uplift likely started after the deposition of SB3, and it may
437 average a complex history consisting of more than one phase of uplift and periods of tectonic
438 **quiescence** over a time interval of ~ 4 m.y. The uplift **was** initially produced by a $N45^\circ$ horizontal

439 σ_1 , which can testify to an Apennine-driven compressive stress field (Picotti et al., 1997). The two
440 youngest deformations bear vertical σ_1 and horizontal σ_3 , which rotates from N50° to N305°. The
441 first N50° extensional deformation event is broadly coaxial to the previous N45° contractional
442 deformation event and documents an inversion of the stress. We interpret this inversion, as well as
443 the development of the normal faults occurring at San Bartolomeo, as the onset of a tensional stress
444 by bending moment. These structural features can be tentatively explained by the out-of-sequence
445 reactivation of a deep basement structure, which would have deformed the area of the San
446 Bartolomeo Hill in a broad antiform. Within this structure, the San Bartolomeo Hill Formation
447 should lie in the summit because of its subhorizontal bedding attitude. The following N305°
448 extensional event testifies to a further rotation of the stress field, which, however, continued to
449 trigger the growth of the San Bartolomeo Hill structure. The N305°-directed bending moment is
450 driven by a NW-directed horizontal σ_1 , in good agreement with the maximum stress calculated at
451 Sant' Ambrogio and Sirmione at least since the Gelasian (see following).

452 In the eastern sector, the following events are reconstructed:

453 (1) Pre-Gelasian subsidence and deposition of the Montecio Conglomerate: The occurrence
454 of Gelasian microfauna within the Montecio Conglomerate weathered gravels, provides a *terminus*
455 *ante quem* for the deposition of the Montecio Conglomerate. The thickness of the deposit at
456 Sant' Ambrogio (~50 m) suggests subsidence settings consistent with those occurring during the
457 intra-Zanclean phase (Ghielmi et al., 2010) and also observed a few tens of kilometers westward at
458 the San Bartolomeo Hill.

459 (2) Gelasian faulting of the Montecio Conglomerate: Weathered Montecio Conglomerate is
460 found to fill tectonic fractures at Rivoli in association with Gelasian microfauna. This evidence can
461 support a tectonic activity during the Gelasian that created tensile joints, such as those observed in
462 the Montecio Conglomerate at Sant' Ambrogio, and could have likely produced local “pull-apart”
463 basins bounded by WNW- to NW-striking right-lateral faults, such as the Cà Verde depression.
464 This deformation was produced by a stress field with NNW horizontal σ_1 . The Sant' Ambrogio fault

465 shows some similarity in direction and deformation style with the Nogara fault detected in seismic
466 lines. Their geometry and kinematics suggest that both belong to the same regional NW-directed
467 “Schio-Vicenza”-like fault system.

468 In the central sector, the Sirmione Conglomerate recorded the youngest deformation event:

469 (1) Late Matuyama deposition of the Sirmione Conglomerate: The conglomerate was
470 deposited during one of the earliest glacial stages occurring at the end of the early Pleistocene,
471 likely in moderate- to low-subsidence settings.

472 (2) Middle Pleistocene faulting of the Sirmione Conglomerate: Due to the latest early
473 Pleistocene age of the Sirmione Conglomerate, we refer the faulting of the Sirmione Conglomerate
474 to the right following the middle Pleistocene. The NNE-directed fault observed at Villa Cortine in
475 the Sirmione Conglomerate has roughly the same direction as the underlying Sirmione fault
476 observed in the subsurface by means of seismic interpretation (Figs. 1 and 2A). Due to the poor
477 exposures at Sirmione, it is still not possible to construct a complete picture of the structural
478 relationship between both faults. Taking into account the widely documented left-lateral
479 transpressive kinematics of the Giudicarie thrust belt (e.g., Picotti et al., 1995; Viganò et al., 2008),
480 we propose as first interpretation that the left-lateral strike-slip fault observed at Villa Cortine may
481 represent the surficial expression of the deeper Sirmione fault, for which we infer the same left-
482 lateral transpressive kinematics observed in the Giudicarie belt.

483 On the whole, in our reconstruction of late Alpine tectonics in the Lake Garda area, we
484 interpret three distinct deformation events (Fig. 10), recognized and distinguished by the different
485 directions of compression and their timing of activity. The oldest corresponds to the intra-Zanclean
486 event of Ghielmi et al. (2010), and it was produced by a severe northward migration of the
487 Apennine fronts. The creation of accommodation space by flexure of the Alpine foreland during
488 this event drove the deposition of the lower conglomerate (SB1) of the San Bartolomeo Hill
489 Formation and, likely, the deposition of the Montecio Conglomerate a few tens of kilometers
490 eastward. The marine transgression observed at San Bartolomeo with the SB2 clays marks the

491 maximum flooding surface relating to this event at ca. 4.0 Ma. The intra-Zanclean event can be
492 interpreted according to Caputo et al. (2010) as a period of decoupling along the basal detachment
493 layer of the Apennines critical taper, during which the convergence between the Apennines and
494 Alps **was** accommodated by the frontal propagation of the Apennines thrusts, in agreement with
495 what was observed in the subsurface (Ghielmi et al., 2010). The oldest event of contractional
496 deformation observed in the SB1 conglomerate by Picotti et al. (1997) is parallel to the direction of
497 the Northern Apennine migration, suggesting that, after the initial intra-Zanclean propagation of the
498 Apennines thrusts, the stress was transferred through the Adria plate foreland and accommodated
499 by the Alpine margin. This stress transfer is interpreted as **resulting from** coupling along the basal
500 detachment of the Apennines critical taper. In this moment, we can constrain the onset of the uplift
501 at the San Bartolomeo Hill and the oldest extensional deformation observed by Picotti et al. (1997).
502 This event can be considered as a continuation of the intra-Zanclean deformation phase because the
503 σ_1 **value is** roughly constant.

504 During the Gelasian, we observe a radical change of the σ_1 direction, which rotates from
505 NE°(N50°) to NNW (~N172°–175°). Similar stress field variations have been documented in the
506 eastern southern Alps during the last ~10 m.y. (Caputo et al., 2003). These variations are interpreted
507 as temporary perturbations of the local stress field in the framework of the remote plate
508 convergence between Africa and Europe and the northeastward migration of the Northern
509 Apennines (Caputo et al., 2010). In this geodynamic settings, we interpret the Gelasian stress
510 direction as a result of the vector sum between the stress induced by the NW-oriented Adria plate
511 motion (Mazzoli and Helman, 1994) and the stress induced by the Apennine northeastward
512 propagation. During the intra-Zanclean event, the latter was the most represented, **whereas** with the
513 Gelasian event, we can assume that both stresses contributed almost equally to produce a NNW-
514 oriented resultant vector for the observed σ_1 . Probably due to the waning or a change in the
515 deformation style of the Apennine tectonics, the Adria component gets more represented in the σ_1
516 final direction. This new stress regime **led** the Gelasian event to produce a further tilting in the

517 foreland (Apennine component of deformation) and in addition triggered the onset of strike-slip
518 kinematics in the Lake Garda area with the deformation of the Montecio Conglomerate along the
519 Sant' Ambrogio fault (Adria component of deformation). The youngest extensional deformation in
520 the San Bartolomeo Hill is roughly coaxial with this new NNW-directed σ_1 and tentatively referred
521 to this event.

522 Data from the Sirmione Conglomerate show that the NNW-oriented σ_1 was also acting
523 during the middle Pleistocene, when, in agreement with geomorphologic evidence (Desio, 1965;
524 Livio et al., 2009), tectonic deformation is documented in the Lake Garda area. The σ_1 we calculate
525 for the Gelasian and middle Pleistocene events agrees with the direction of maximum compression
526 estimated from the mesostructural analyses in the eastern southern Alps (Caputo et al., 2010), thus
527 contributing to depict a regional scenario consistent over a distance of more than 200 km, from the
528 Tagliamento River (east) to the Lake Garda area (west).

529 **SEISMOTECTONIC IMPLICATIONS**

530 Our data from subsurface and land exposures led to the recognition of two sets of faults in
531 the Lake Garda area and the Mantova monocline. One set is characterized by NNE-trending thrust
532 faults, mostly represented in the western sector of the Lake Garda area and ascribable to the
533 Giudicarie belt. The second set is characterized by NW-trending strike-slip faults, observed in the
534 eastern sector and ascribable to a "Schio-Vicenza"-like system. In the Sirmione peninsula, macro-
535 and mesostructures belonging to both sets were observed. The NNE-directed and the NW-directed
536 fault sets bear left-transpressive and right-lateral strike-slip motion, respectively (Fig. 11, inset).
537 Our kinematic data are in agreement with the focal plane solutions and maximum horizontal
538 stresses provided by Viganò et al. (2008) and the Salò 2004 event ($M_w = 5.0$), which displayed a
539 left-transpressive motion (Fig. 11). In the seismotectonic perspective, earthquakes in the area of the
540 Lessini Mountains should be consistent with a right-lateral strike-slip reactivation of NW-directed
541 faults belonging to the Schio-Vicenza system.

542 North of Lake Garda, the structural style documented by Castellarin and Cantelli (2000)
543 consists of a NW-directed set of the Schio-Vicenza faults deeply penetrating inside the Giudicarie
544 belt (Fig. 1). The tens-of-kilometers-long, NW-directed transfer faults dissect the NNE-trending
545 thrusts and anticlines in short segments, typically ~10 km or less (Fig. 1). We infer the same
546 structural style in the Lake Garda area, where the NNE-directed Giudicarie thrusts may be likely
547 segmented by the NW-trending “Schio-Vicenza”-like faults detected in the subsurface (i.e., the
548 Nogara fault) as well as in land exposures (i.e., the Sant’Ambrogio fault). These NW faults can be
549 interpreted as ancient (Mesozoic?) structures reactivated in the frame of the foreland deformation
550 and kinematically associated with the NNE-directed thrusts of the Lake Garda area (Sirmione and
551 Solferino faults). On the whole, the interplay between the two systems leads to the activation of
552 relatively short NNE thrust ramps bounded by longer NW transfer faults with right-lateral strike-
553 slip motion (Fig. 11, inset).

554 The western sector of Lake Garda has experienced earthquakes in the last centuries with
555 comparable levels of damage and estimated M_w ranging from 4.6 to 5.5 (Pessina et al., 2013; Fig.
556 11). The empirical translation of these magnitudes in source length allows estimation of thrust
557 ramps with lengths not exceeding 7 km (Wells and Coppersmith, 1994). The instrumental
558 parameters of the last event in Salò 2004 ($M_w = 5.0$) point to thrust kinematics with a slight left-
559 lateral component. Beside the Salò 2004 earthquake, a fragment of the same NNE-trending thrusts
560 may have originated the 1901 earthquake ($M_w = 5.5$). In this context, even if the macroseismic
561 epicenter of the A.D. 1222 earthquake ($M_w = 5.8$; Locati et al., 2011) was located in Lake Garda,
562 recent paleoseismologic findings in the Monte Netto Hill (~10 km southeast of Brescia; Fig. 11)
563 indicate the thrust systems in the Brescia area (Malpaga fault) as a probable causative source for
564 this event (Livio et al., 2009).

565 In the seismic history of the Po Plain, the most destructive event occurred in A.D. 1117 (M_w
566 = 6.7). Historical information about the damage distribution is sparse and defines significant effects
567 in Cremona, Verona, and Padova, suggesting a very wide damage area (~150 km between Cremona

568 and Padova). A possible macroseismic epicenter has been proposed a few kilometers south of
569 Verona on the basis of historical data (Locati et al., 2011; Fig. 11). The definition of a seismogenic
570 source is still an open issue, as indicated by the occurrence of different hypotheses. According to
571 Galadini et al. (2005), the A.D. 1117 event can be associated to the Thiene-Bassano thrust, along
572 the front of the eastern southern Alps (Fig. 1). Galli (2005) supported a multi-epicentral hypothesis
573 involving the 1117 seismic sequence and the buried Piadena fault along the Apennine front (Fig.
574 11). Based on the empirical relationships of Wells and Coppersmith (1994), the estimated
575 magnitude of the A.D. 1117 earthquake was produced by a source of ~30–40 km of length,
576 compatible with the structural style of the Lessini Mountains block and the Mantova monocline,
577 where strike-slip faults are traceable for more than 30 km. For instance, the Nogara fault has been
578 traced on seismic lines for ~60 km, and the Sant’Ambrogio fault length is estimated at least ~30 km
579 (Fig. 11). As a result, therefore, we suggest that both faults should be regarded as a potential
580 candidate for the A.D. 1117 seismogenic source.

581 The Lessini Mountains block also represents the epicentral area of the A.D. 1891 earthquake
582 ($M_w = 5.8$). Although current hypotheses for the origin of this earthquake are not available, the
583 epicentral location in the innermost sector of Lessini Mountains suggests that it was originated by
584 the activation of one of the minor strike-slip faults related to the Schio-Vicenza system.

585 On the whole, by considering the structural framework discussed here and the distribution of
586 the seismicity, the interplay between NNE-directed Giudicarie thrusts and NW-directed “Schio-
587 Vicenza”-like faults is expected to generate moderate-magnitude earthquakes ($M_w < 6$) by the
588 activation of the former and stronger earthquakes (also $M_w > 6.5$) sourced on the latter.

589 CONCLUSIONS

590 The ongoing convergence between Africa and Europe, coupled with northward propagation
591 of Apennines thrusts, led to three different tectonic events during the last 5 m.y., namely the intra-
592 Zanclean, Gelasian, and middle Pleistocene. These events, formerly recognized in the subsurface of
593 the Po Plain, left a fingerprint also along the southern Alps margin. Pliocene deposits at San

594 Bartolomeo Hill and Sant’Ambrogio di Valpolicella recorded the oldest two deformations. The
595 youngest event, formerly inferred by geomorphologic and paleoseismologic studies, has been
596 observed and characterized in the Sirmione peninsula.

597 The intra-Zanclean event produced the southward flexure of the southern Alps and the
598 marine transgression in the Alpine valleys at ca. 4.0 Ma. The associated stress was mainly
599 Apennine-driven with a NE direction. **Beginning in** the Gelasian, the maximum compressive axis
600 turned to the NNW, keeping this direction also during the middle Pleistocene. The associated
601 deformation was accommodated by two sets of faults consisting of NNE-trending thrust faults,
602 mostly represented in the western sector of the Lake Garda area and ascribable to the Giudicarie
603 belt, and NW-trending strike-slip faults, observed in the eastern sector and ascribable to a “Schio-
604 Vicenza”-like system. The interplay between these two sets of faults is interpreted to produce
605 relatively short (<10 km length) thrust ramps activated in left transpression, bounded by relatively
606 longer (30–60 km) transfer faults activated in a right-lateral strike-slip motion.

607 Based on our reconstruction, we expect moderate seismicity ($M_w < 6$) associated with the
608 NNE-directed Giudicarie thrusts and stronger earthquakes (also $M_w > 6.5$) along the NW-trending
609 “Schio-Vicenza”-like strike-slip faults. In this framework, the Nogara fault or the Sant’Ambrogio
610 fault could be regarded as potential candidates for the **A.D. 1117 earthquake** seismogenic source.

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825 **FIGURE CAPTIONS**

826 Figure 1. Structural map of the central-eastern Po Plain with the track of seismic lines A and B, and
827 the Rodigo 1 well (black square) shown in Figure 2. Stars indicate the land exposures where
828 stratigraphic and structural observations were carried out (SB—San Bartolomeo Hill; SIR—
829 Sirmione peninsula; SA—Sant’Ambrogio di Valpolicella).

830 Figure 2. Representative seismic profiles from the Po Plain and related stratigraphic interpretation.
831 The Rodigo 1 and water wells (*w*) used to calibrate the uppermost seismic horizons are also
832 displayed. Ages of biostratigraphic events are from Lourens et al. (2005). **[[Figure edit: Define
833 genus abbreviations in caption.]]**

834 Figure 3. Geologic sketch, stratigraphy, and biostratigraphic data of the San Bartolomeo Hill
835 Formation. Pollen percentages are based on a sum including pollen in primary deposition of trees,
836 shrubs, and upland herbs. **[[Figure edits: Add lat and long to A. Add legend for patterns in
837 lithostratigraphy column? Define P. genus abbreviation in caption.]]**

838 Figure 4. Ternary diagram showing the petrographic composition of sediments coming from the
839 study sites, compared to Pleistocene fluvio-glacial sample and the main source areas represented in
840 90% confidence field (data from Gazzi et al., 1973; Garzanti et al., 2006, 2011; Monegato et al.,
841 2010).

842 Figure 5. (A) Geologic sketch of the eastern sector of the Lake Garda area. (B) Geologic map of the
843 Sant’Ambrogio area. Hatches on the faults indicate the dip of the plane; stars indicate the sites
844 where structural observations were carried out. (C) Mesoscale data (Schmidt net, lower hemisphere)

845 of main faults, joints, and deformed pebbles. Black arrows represent the σ_1 direction calculated
846 from the structural measurements. **[[Figure edit: Add lat and long to A and B.]]**

847 Figure 6. Evidence of tectonic deformation in the Montecio Conglomerate: (A–B) Parallel striae on
848 a flat and polished surface of a pebble (A; pen for scale) related to the **WNW** fault system, indicated
849 by black arrows in B (Montecio locality, NE of Sant’ Ambrogio). (C) High-angle, N-dipping,
850 normal fault consisting of two main surfaces (white arrows) that bound a 50-cm-thick fault breccia
851 (Montecio locality); S-C shears are indicated by black lines. (D) E-NE–striking open fracture filled
852 by the weathered Montecio Conglomerate at Rivoli V.se. **[[Spell out V.se. here.]]**

853 Figure 7. Geologic map of the Sirmione peninsula. Stars indicate the sites where structural
854 observations were carried out. Insets at the top: Mesoscale data (Schmidt net, lower hemisphere) of
855 main faults and deformed pebbles. Black arrows represent the σ_1 direction calculated from the
856 structural measurements. **[[Figure edits: Add lat and long. Fix spelling of Cretaceous in cherty
857 limestone legend text.]]**

858 Figure 8. Stratigraphy, paleomagnetism, and pollen data from the Sirmione Conglomerate. The
859 pelitic level where samples for paleomagnetism and pollen analysis were collected is indicated by
860 arrow. Paleomagnetism: open and closed symbols in the orthogonal vector diagram represent
861 projections onto vertical and horizontal plane, respectively; open and closed symbols in the equal-
862 area projection represent projections onto upper and lower hemisphere, respectively. Star is the site
863 mean value. Pollen percentages are based on a sum including pollen in primary deposition of trees,
864 shrubs, and upland herbs. Xerophytes = sum of *Artemisia*, *Ephedra fragilis*, and *Ephedra distachya*
865 types, *Hippophae*, *Centaurea scabiosa*, *Helianthemum*, Chenopodiaceae; upland herbs = sum of all
866 terrestrial angiosperms, herbaceous xerophytes excluded. **ChRM—characteristic remanent
867 magnetization. [[Figure edits: Fix spelling of palynomorphs (right bottom graph). Add legend
868 for patterns used in lithostratigraphy column?]]**

869 Figure 9. Evidence of tectonic deformation in the Sirmione peninsula: (A) Left-lateral, NE-striking,
870 high-angle fault zone at Villa Cortine, consisting of several-meters-long anastomosed faults (black

871 lines) and depicting small-scale flower structures (hammer as scale). Secondary extensional
872 component of movement is shown by the displacement of bedding surfaces (dashed white lines).
873 (B) NE-striking and left-lateral fault, consisting of a decimeters-wide shear zone internally
874 characterized by anastomosed fault surfaces (Villa Cortine locality). (C) Aerial photograph of the
875 northern end of the Sirmione peninsula, showing NW-striking, strike-slip fault system and the
876 NNE-striking bedding attitude. Note the northeastern horsetail termination of the NW fault system.
877 (D) Line drawing of panel C.

878 Figure 10. Synthetic diagram showing deformation and age of the tectonic events recognized in the
879 subsurface of the Po Plain (Ghielmi et al., 2010) and along the southern Alps margin in the Lake
880 Garda area (this study). The direction of maximum compression estimated from the mesostructural
881 analyses (σ_1) is also reported. **[[Figure edits: Define genus abbreviations and ATNTS in
882 caption.]]**

883 Figure 11. Seismotectonic model of the central Po Plain. Faults displaying activity during the
884 Pleistocene are reported from Galadini et al. (2001), Galli (2005), Livio et al. (2009), and this study.
885 The focal plane solutions are from Viganò et al. (2008); macroseismic epicenters of historical
886 earthquakes (squares) are from DBMI11 (Locati et al., 2011). The pressure-temperature (P-T)
887 diagrams for Sirmione and Sant' Ambrogio (in gray) were calculated from the structural and
888 kinematics data presented in Figures 5 and 7. Crosses indicate the isolated reliefs of the Po Plain
889 produced by active fault-related folding. Shaded area shows the vent of hot waters after Castellaccio
890 and Zorzin (2012). Inset at the lower-left corner: structural sketch of the interplay between the
891 NNE-trending Giudicarie thrusts and the NW-trending strike-slip faults produced by the middle
892 Pleistocene maximum compression axis (σ_1). **[[Figure edits: Add lat and long to map.]]**

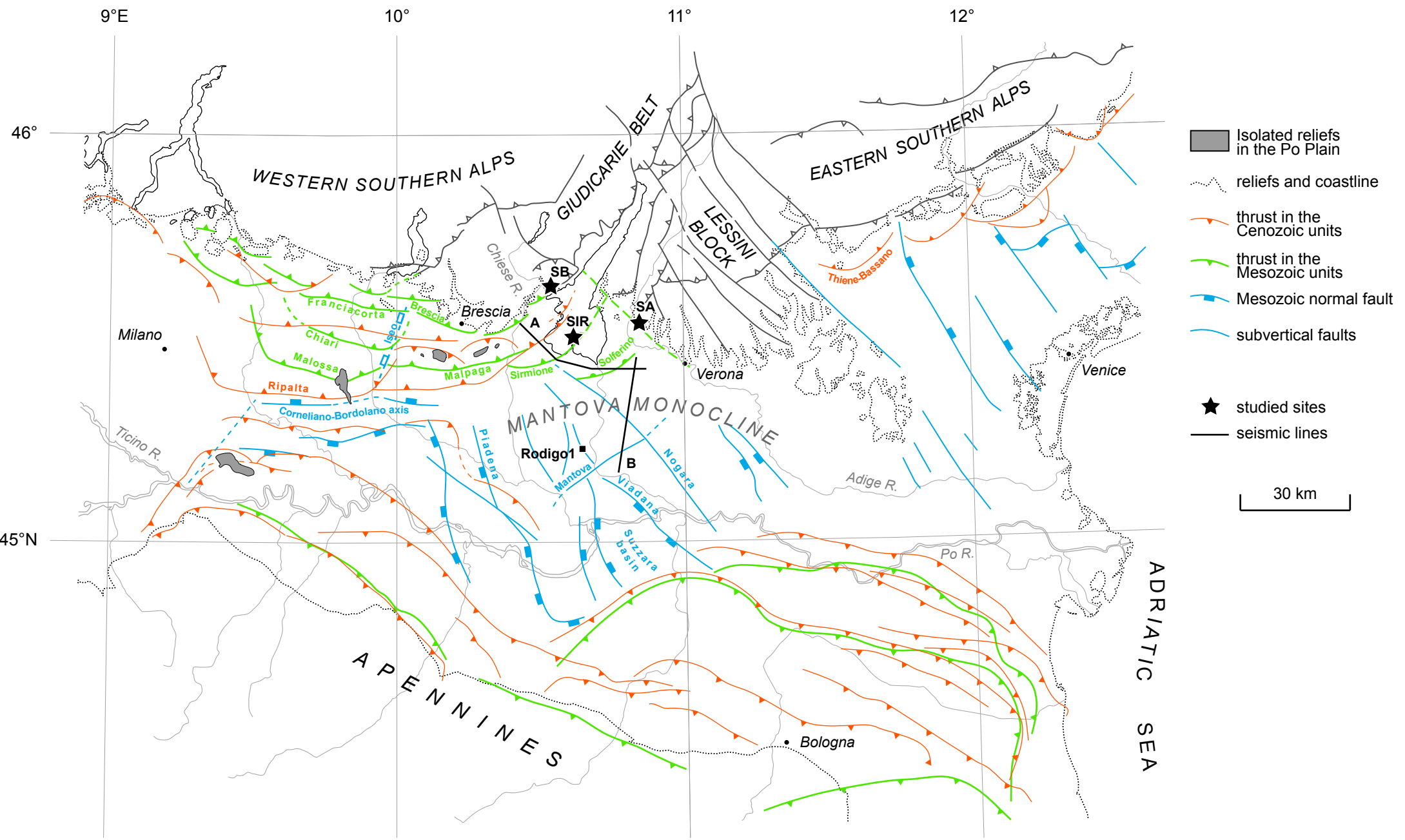


Figure 1

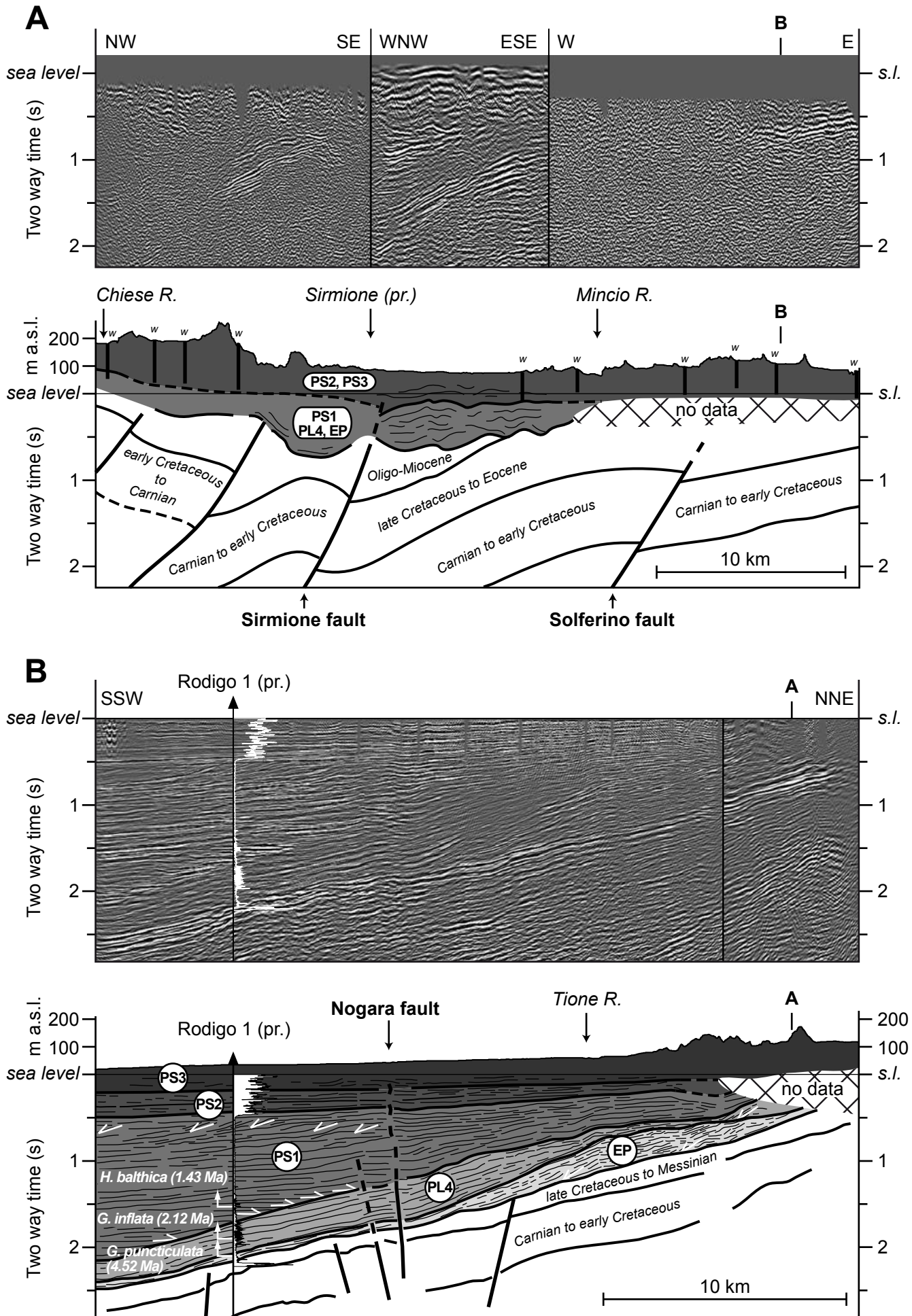


Figure 2

THE S. BARTOLOMEO HILL FORMATION

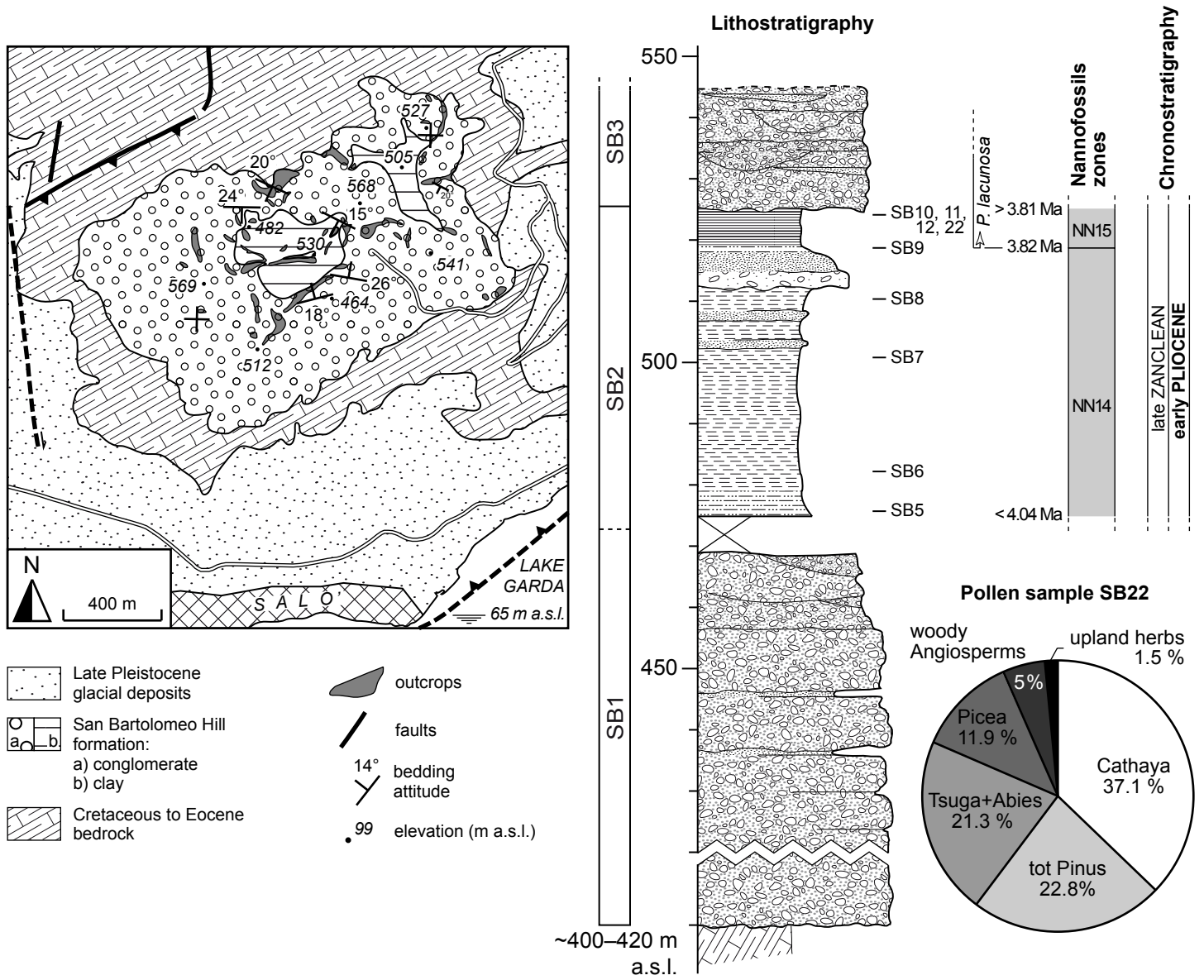


Figure 3

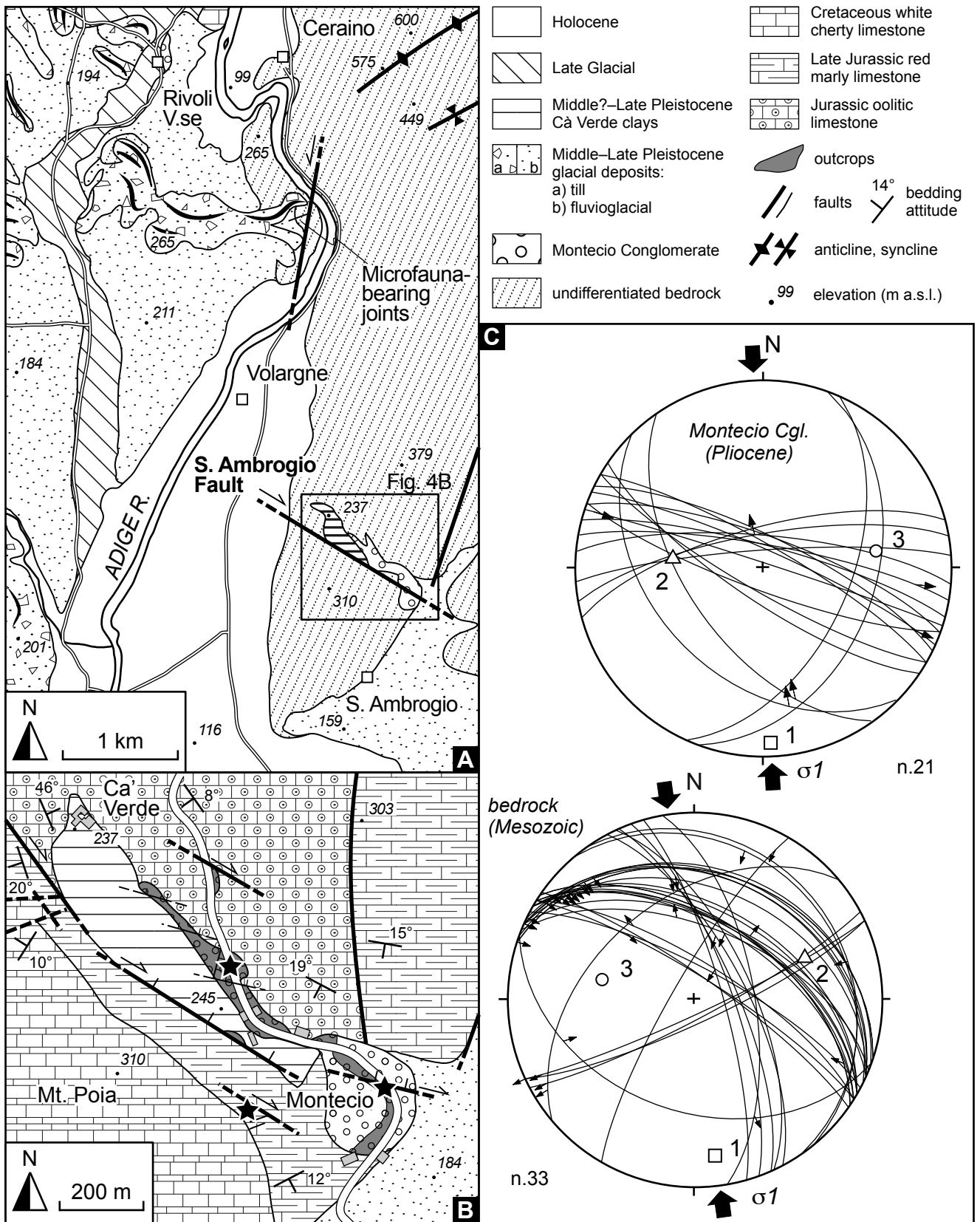


Figure 4

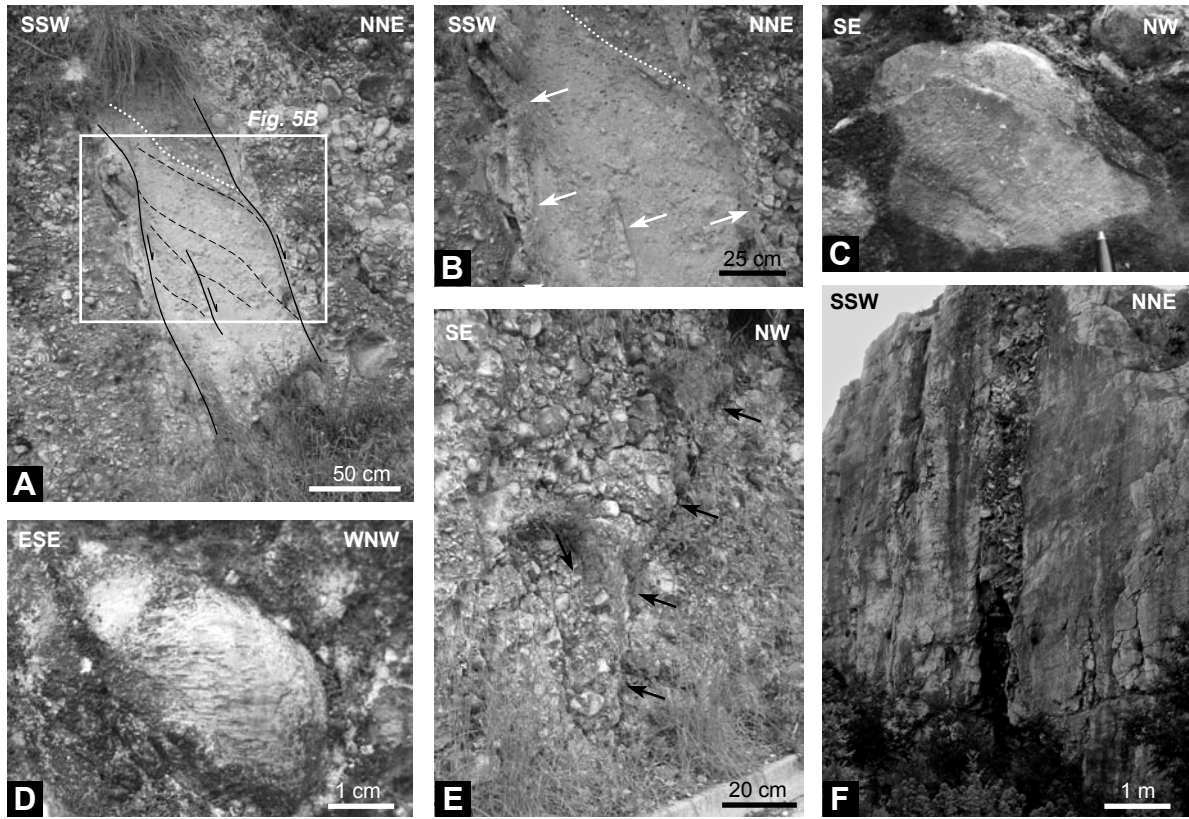


Figure 5

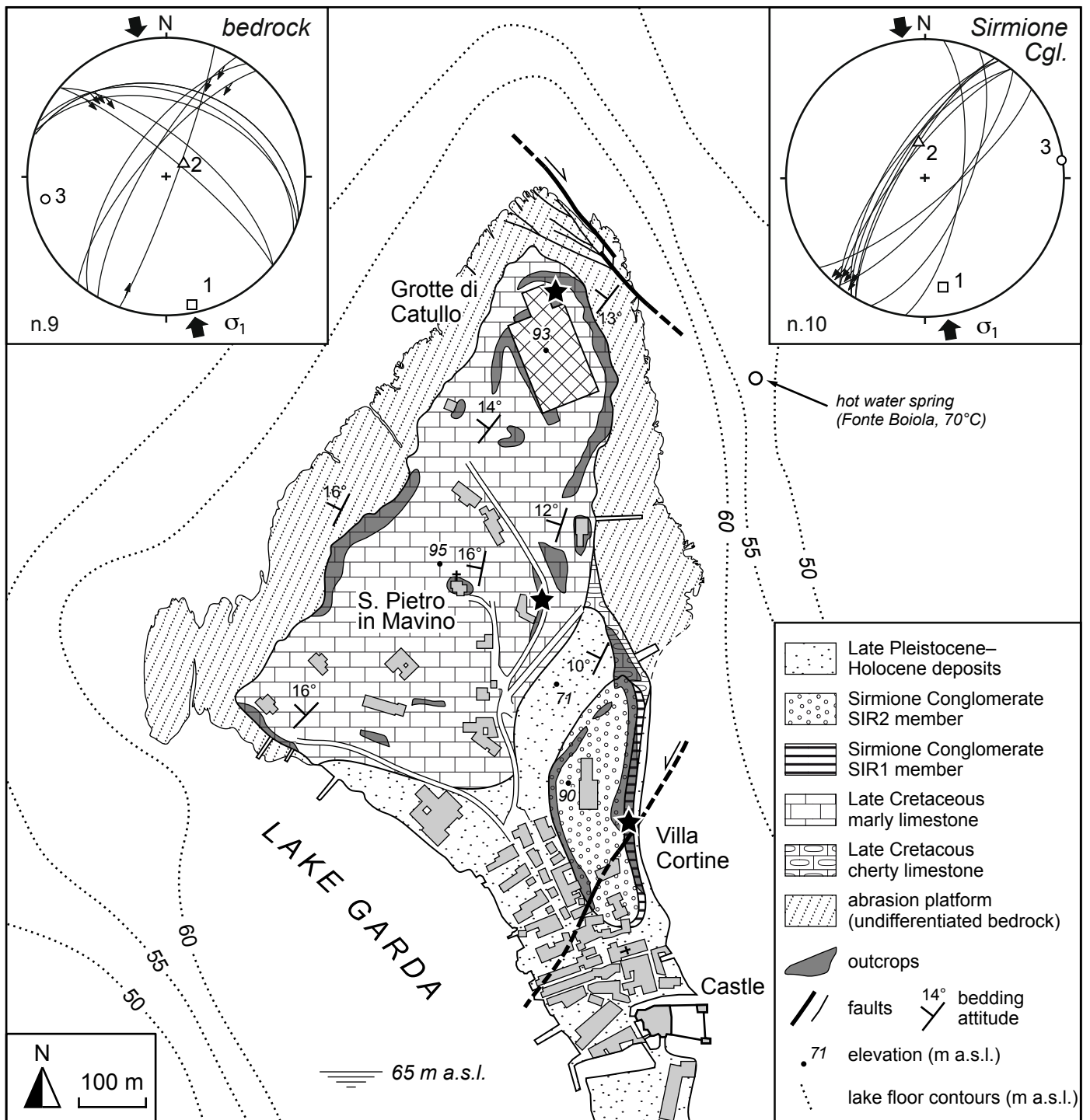


Figure 6

THE SIRMIONE CONGLOMERATE

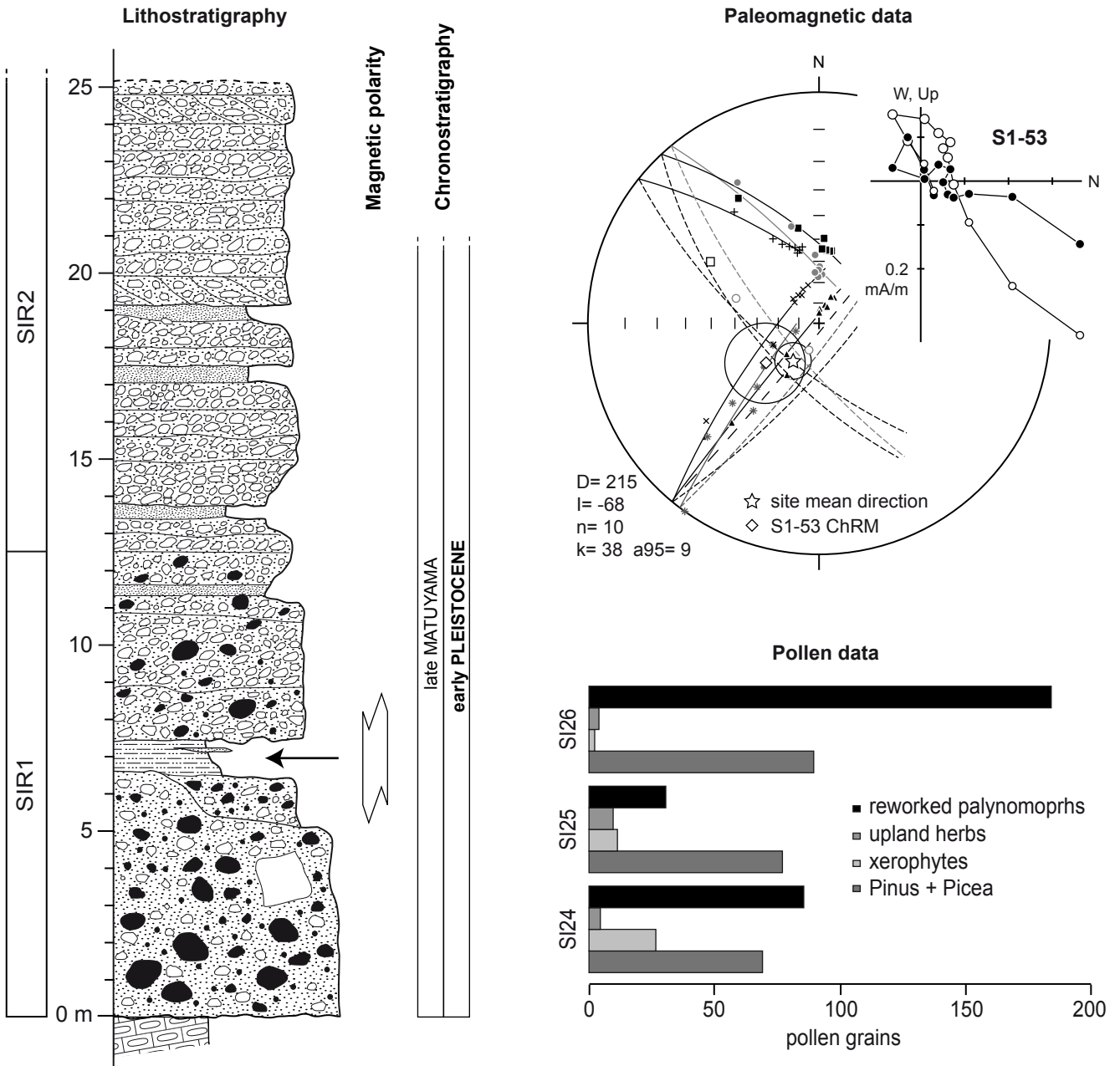


Figure 7

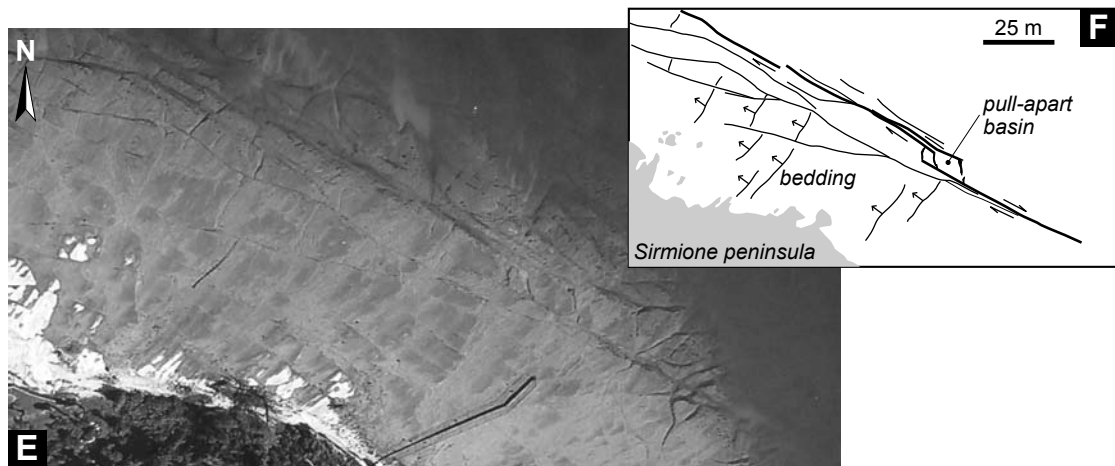
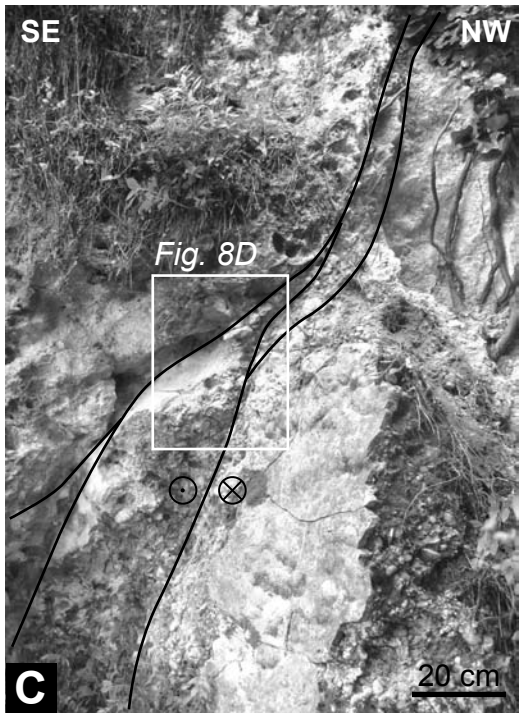
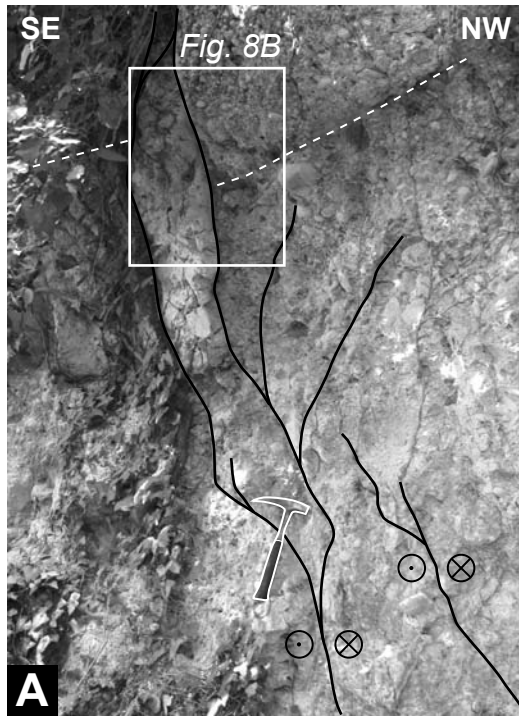
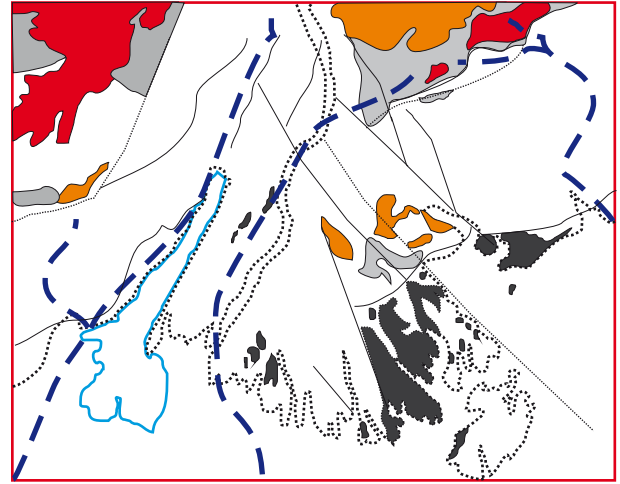
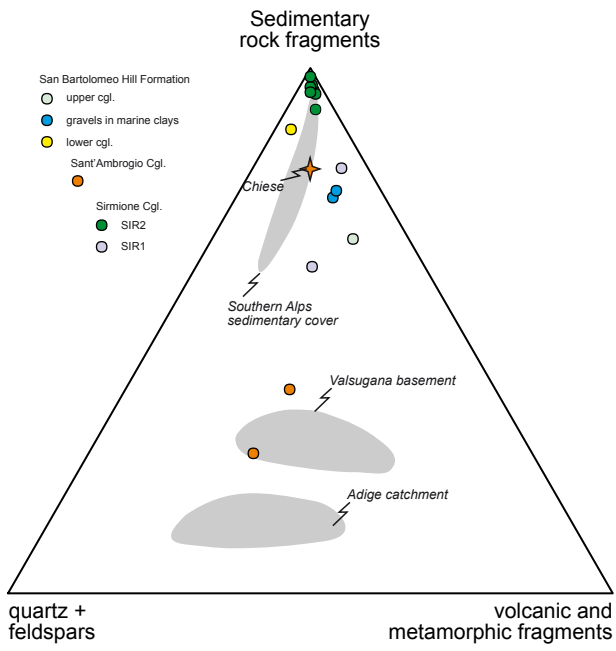
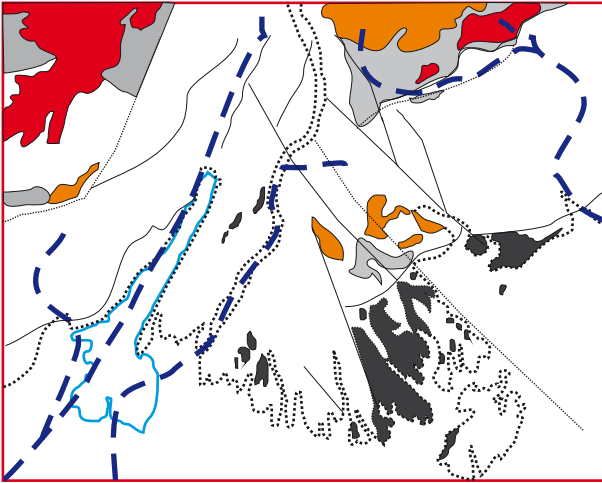


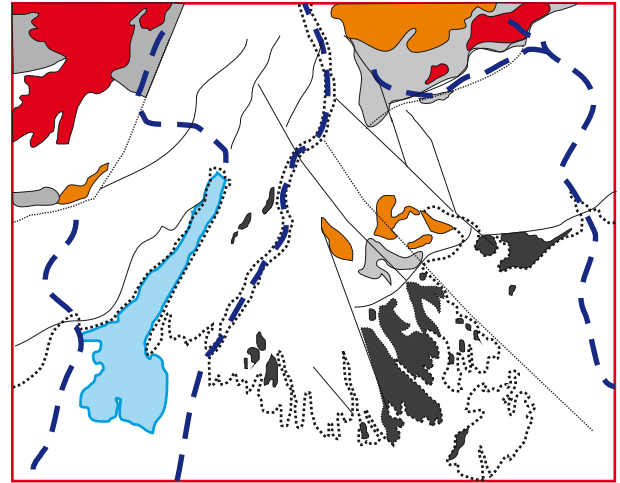
Figure 8



Pliocene



Early Pleistocene



Middle Pleistocene

Figure 9

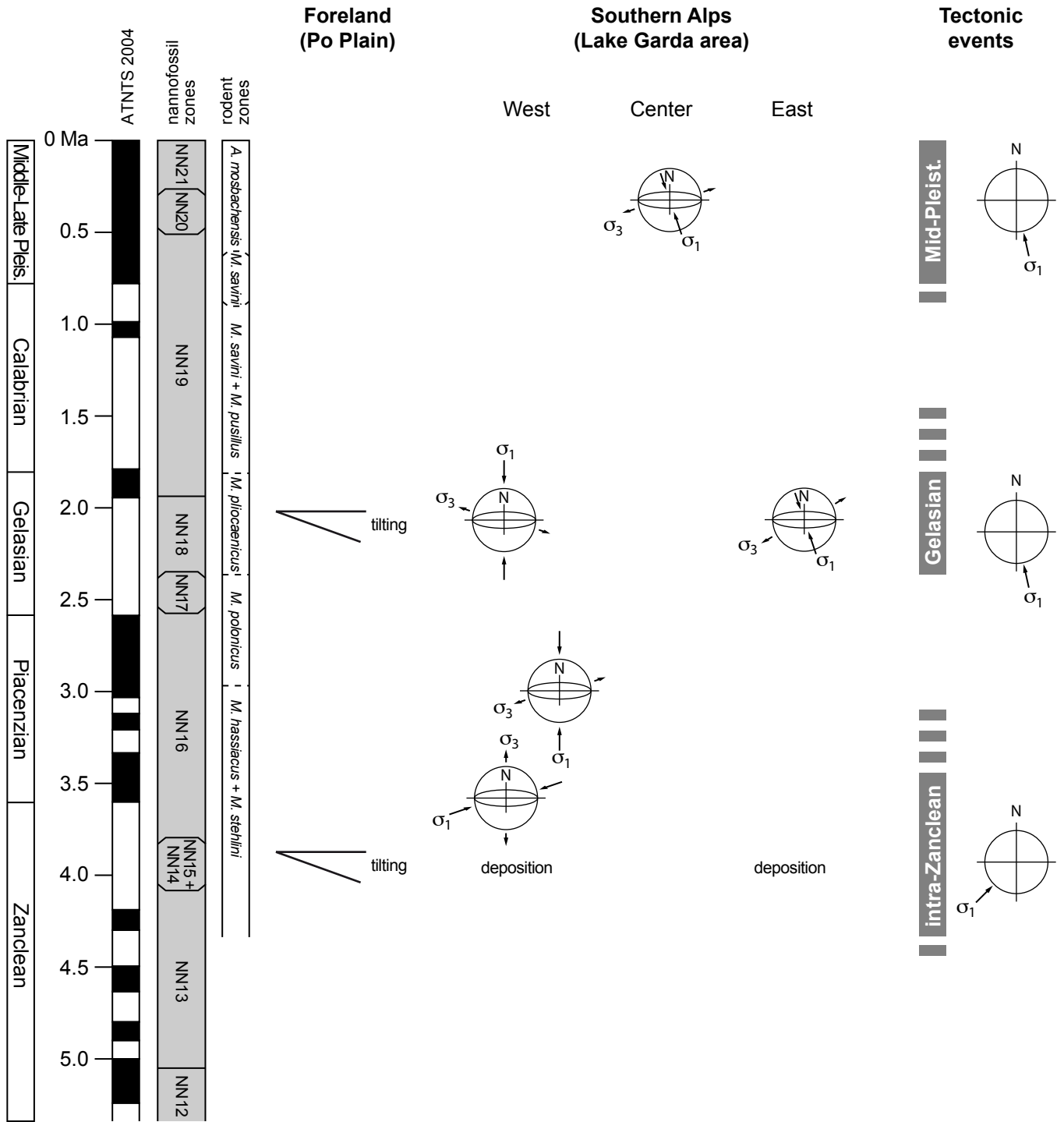


Figure 10

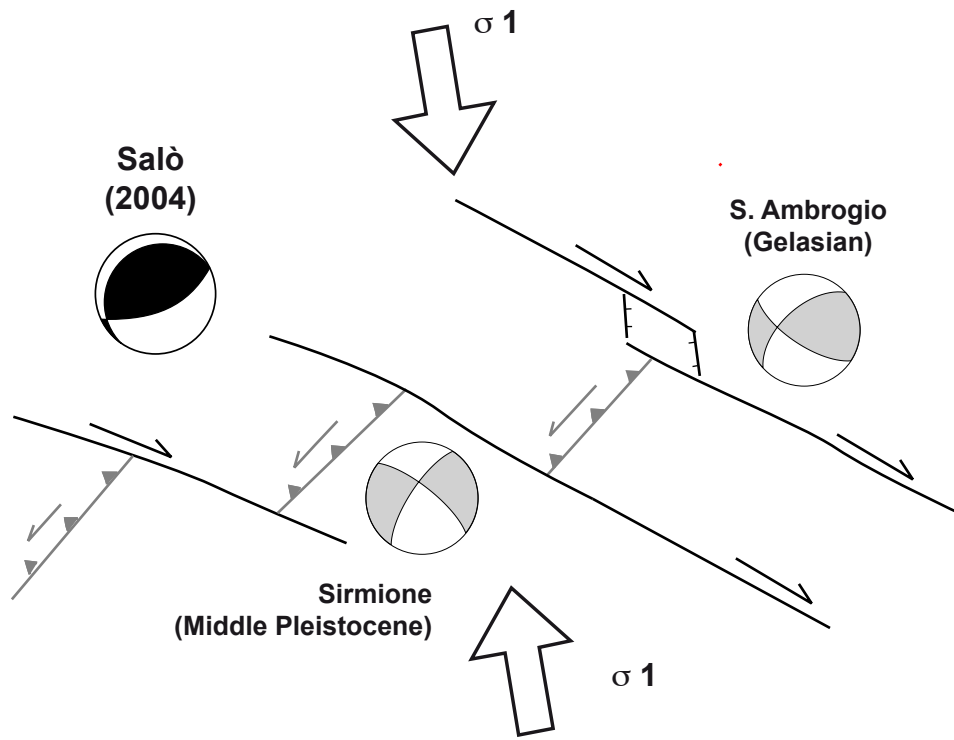


Figura 11

TABLE 1. DETRITAL MODES OF PLOICENE-PLAISTOCENE SANDSTONES FROM THE LAKE GARDA AREA

Sample:	San Bartolomeo Hill Formation				Montecio Conglomerate			Sirmione Conglomerate								
	SB1 SB18	SB2 SB20	SB3 SB21	SB3 SB24	SA1	SA2	SA3	SIR1 SIR13	SIR1 SIR11	SIR17	SIR1 SIR1	SIR2 SIR2	SIR5	SIR6	SIR7	SIR8
Q	2.2	5.6	5.2	6.0	7.1	45.1	30.8	3.1	4.4	17.7	1.6	1.6	1.3	1.5	0.9	2.2
Kf	0.3	3.1	2.4	2.7	0.9	1.0	2.8			0.6				0.3		
P							0.3			0.3						
Lvf	7.6	10.9	10.5	17.6	5.6	5.9	11.2	4.0	13.2	8.2	1.9	3.2	1.3	1.5	0.3	1.6
Lvi			0.3				0.6			0.3						
Lvb					0.6	0.7	0.3									
Lvp								0.9	0.8	1.8						
Lcc	25.1	19.7	18.4	27.1	77.2	16.8	34.7	62.7	18.7	10.8	51.5	55.5	49.4	48.0	49.6	47.8
Lcd	57.3	49.7	47.9	27.4	0.9	2.4	0.8	14.3	61.0	45.9	23.2	25.6	32.1	30.7	35.9	35.1
Lp	6.0	6.0	10.2	14.6	1.8	6.6	2.2	3.1	1.4	4.0	6.7	2.6	1.6	6.4	3.7	4.8
Lch	0.6				2.1	0.7	1.1	11.8		1.3	14.5	11.4	13.8	11.4	9.4	7.8
Lms	0.9	4.4	2.7	4.6	3.5	19.6	14.8		0.5	7.9	0.6		0.5	0.3	0.3	0.6
Lmi		0.6	2.4		0.3	1.0	0.3			1.1						

Note: Q—quartz; Kf—K-feldspar; P—plagioclase; Lvf—felsic volcanic and subvolcanic lithic fragments; Lvi—intermediate volcanic and subvolcanic lithic fragments; Lvb—basic volcanic and subvolcanic lithic fragments; Lvp—plutonic lithic fragments; Lcc—limestone grains; Lcd—dolostone grains; Lp—shale, siltstone lithic fragments; Lch—chert grains; Lms—low-grade metamorphic lithic fragments; Lmi—medium-grade metamorphic lithic fragments.

TABLE 2. NANNOFOSSIL DISTRIBUTION CHART FOR THE SAN BARTOLOMEO HILL FORMATION AND THE BEDROCK OF THE SIRMIONE PENINSULA ("SCAGLIA" FACIES)

Sample:	San Bartolomeo Hill Formation, SB2 member							
	SB5	SB6	SB7	SB8	SB9	SB10	SB11	SB12
	M F	P R	M R	M R	M R	M R	P R	M R
<i>Braarudosphaera bigelowii</i>						1		
<i>Calcidiscus leptoporus</i>	2		1	1	1	1		1
<i>Coccolithus pelagicus</i>	6	1	5	4	7	8	2	4
<i>Helicosphaera carteri</i>	5	1	2	1	6	7	1	9
<i>Helicosphaera sellii</i>	2		1		1	1		1
<i>Pseudoemiliana lacunosa</i>					2	2	1	2
<i>Reticulofenestra minuta</i> group	16	3	11	12	10	18	5	6
<i>Reticulofenestra pseudoumbilica</i>	33	6	19	22	10	13	5	3
<i>Pseudoemiliana ovata</i>	2		1	1	1	1		
<i>Calcidiscus macintyreii</i>	1			1				1
<i>Discoaster</i> spp.	1			1				2
<i>Sphenolithus abies</i>	5	1		2		3	1	2
<i>Umbilicosphaera jafari</i>	1			1				
<i>Discoaster variabilis</i>						1		
Reworked Cretaceous	21	4	19		31	44	5	31
Reworked Paleogene			4		11	12	2	8
Calcareous nannofossil zone (M. Text , 1971)	NN14	NN14	NN14	NN14	NN15	NN15	NN15	NN15
Sample:	Sirmione peninsula bedrock (Scaglia)							
	SCA6	SCA4	SCA1	SCA2	SCA3			
	M F	P R	P R	P R	M F			
<i>Arkhangelskiella cymbiformis</i>	1	1	1	1	1			
<i>Broinsonia parca</i>	1	1	1		1			
<i>Chiastozygus litterarius</i>	1	1	1		1			
<i>Cretarhabdus conicus</i>	1				1			
<i>Cribrosphaerella ehrenbergii</i>	3	1	2	1	1			
<i>Cylindralithus</i> spp.	1							
<i>Eiffellithus eximius</i>	1	2	1	1	1			
<i>Eiffellithus turriseiffelii</i>	1	1	1	1	1			
<i>Gartnerago segmentatum</i>	1		1		1			
<i>Microrhabdulus decoratus</i>	1							
<i>Micula staurophora</i>	1	1	1	1	1			
<i>Prediscosphaera cretacea</i>	1	1	1	1	1			
<i>Prediscosphaera spinosa</i>	1	1		1				
<i>Quadrum gartneri</i>	1	1	1	1	1			
<i>Reinhardtites anthophorus</i>	1	1		1	1			
<i>Retecapsa crenulata</i>	3	1	1		1			
<i>Retecapsa schizobrachiata</i>	1	1						
<i>Staurolithites crux</i>	1							
<i>Tegumentum stradneri</i>	1		1		1			
<i>Tranolithus orionatus</i>	1	1	1	1	1			
<i>Watznaueria barnesae</i>	5	1	1	3	4			
<i>Zeugrhabdotus elegans</i>	1	1						
<i>Zeugrhabdotus embergeri</i>	1	1			1			
<i>Zeugrhabdotus erectus</i>	1							
<i>Gartnerago obliquum</i>		1						
<i>Hexalithus gardetiae</i>		1	1		1			
<i>Kamptnerius magnificus</i>		1			1			
<i>Lucianorhabdus cayeuxii</i>					1			
<i>Reinhardtites levis</i>	1		1	1	1			
<i>Zeugrhabdotus diplogrammus</i>					1			
<i>Quadrum trifidum</i>	1			1				
Calcareous nannofossil zone (Sissingh, 1977)	CC22c	CC22c	CC22c	CC22c	CC22c			

Note: Abundance is expressed as F (frequent) and R (rare), preservation as M (moderate) and P (poor).

[[Please double check spelling of all Latin names.]]

TABLE 3. POLLEN DATA (RAW COUNTS AND PERCENTAGE VALUES) FOR THE SAN BARTOLOMEO HILL FORMATION AND THE SIRMIONE CONGLOMERATE

	Sirmione						San Bartolomeo	
	SI 24		SI 25		SI 26		SB 22	
	grains	pollen %	grains	pollen %	grains	pollen %	grains	pollen %
<u>Gymnosperms</u>								
<i>Pinus sylvestris/mugo</i>	211	52	266	65.8	62	58.5		
<i>Pinus cembra</i>	17	4.2	10	2.5	9	8.5		
<i>Pinus diploxylon</i> type							41	20.3
<i>Pinus haploxylon</i> type							5	2.5
<i>Picea</i>	54	13.3	35	8.7	24	22.6	24	11.9
<i>Abies</i>			3	0.7	4	3.8	19	9.4
<i>Cathaya</i>							75	37.1
<i>Tsuga</i>							24	11.9
<i>Sciadopitys</i>							1	+
<i>Ephedra distachya</i> type	76	18.7	9	2.2				
<i>Ephedra fragilis</i> type	7	1.7						
Total gymnosperms	365	89.9	323	80	99	93.4	189	93.6
<u>Woody angiosperms</u>								
<i>Alnus glutinosa</i> type			1	+	1	+	3	1.5
<i>Betula</i>			4	1			1	+
<i>Carpinus orientalis</i>							1	+
Ericaceae							1	+
<i>Fagus</i>							2	1
<i>Hippophae</i>	2	0.5	12	3				
<i>Juglans</i>							1	+
Deciduous <i>Quercus</i>							1	+
<i>Tilia</i>	1	+						
Total woody angiosperms	3	0.7	17	4.2	1	+	10	5
<u>Upland herbs</u>								
Gramineae	8	2	21	5.2				
<i>Anthemis</i> type			1	+				
<i>Centaurea scabiosa</i>	4	1	3	0.7				
<i>Artemisia</i>	13	3.2	20	5	2	1.9	1	+
Cichorioideae	9	2.2	15	3.7	4	3.8	1	+
Chenopodiaceae	1	0.2	2	0.5				
<i>Helianthemum</i>	5	1.2						
Caryophyllaceae			1	+				
Umbelliferae			1	+				
<i>Polygonum viviparum</i>	1	+						
Cruciferae							1	+
Total upland herbs	41	10.1	64	15.8	6	5.7	3	1.5
<u>Aquatic and wetlands</u>								
Cyperaceae	2	0.5	1	+				
<i>Epilobium</i>	3	0.7	4	1				
<i>Thalictrum</i>								
Total aquatic and wetlands	5	1.2	4	1				
<u>Reworked palynomorphs</u>								
<i>Picea</i>	22	5.4	9	2.2	13	12.3		
<i>Pinus</i>	312	76.8	111	27.5	164	154.7		
<i>Abies</i>	1	+			2	1.9		
cf <i>Cathaya</i>	6	1.5	1	+	7	6.6		
<i>Cedrus</i>					1	+		
<i>Classopollis</i>	5	1.2	1	+	2	1.9		
Unidentified 4-porate	1	+						
Unidentified 3-porate			1	+	1	+		
Taxodiaceae					1	+		
Cf. <i>Corylus</i>					1	+		
Carbonized <i>Pinus</i>					3	2.8		
3-porate cf. <i>Carya</i>	1	+						
Total reworked palynomorphs	348	85.7	123	30.4	195	184		
<u>Nonpollen palynomorphs (sensu van Geel, 1978 [[Not in Refs list.]])</u>								
<i>Glomus</i>					11	10.4		
<u>Pteridophytes</u>								
Monoletic spores							7	3.5
<i>Pteris</i>							3	1.5
<u>Marine microfossils</u>								
Cysts of Dinoflagellata	6	1.5			8	7.5	1	+

Pollen sum (aquatic and wetlands excluded)	406	404	106	202
Pollen sum (aquatic and wetlands included)	411	408	106	202
Total number of taxa	17	18	7	17
Pollen concentration (grains/cm ³)	14315	11232	555	1794
Charcoal concentration (part./cm ³)	723	413	185	35
Reworked palynomorph concentration (grains/cm ³)	11975	3386	2371	/

Note: Pollen percentages are based on a pollen sum consisting of trees, shrubs, and upland herbs. Pollens of aquatic/wetland species, reworked, and nonpollen palynomorphs, Pteridophyte spores, and marine microfossils are excluded from the main pollen sum.

[\[\[Please double check spelling of all Latin names.\]\]](#)